

FORM PTO-1390
REV. 2/01

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
07203.0025TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371U.S. APPLICATION NO.
(If known, see 37 CFR 1.52)

09/857456

INTERNATIONAL APPLICATION NO.

PCT/GB99/04073

INTERNATIONAL FILING DATE

December 6, 1999

PRIORITY DATE CLAIMED
December 5, 1998

TITLE OF INVENTION

INTERFACE PATCH CLAMPING

APPLICANT(S) FOR DO/EO/US

Nicholas Gerard BYRNE and David Geraint OWEN

Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed with the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154 (d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ Annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A Substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154 (d)(4).
19. ☐ A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).
20. ☒ Other items or information:
 - a. ☒ Copy of cover page of International Publication No. WO 00/34776
 - b. ☐ Copy of Notification of Missing Requirements.
 - c. ☐

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

09/857456

INTERNATIONAL APPLICATION NO. PCT/GB99/04073

ATTORNEY'S DOCKET NUMBER
07203 002521. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO\$1000.00International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO\$860.00International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search fee (37 CFR 1.445(a)(2)) paid to USPTO\$710.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33 (1)-(4)\$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than
months from the earliest claimed priority date (37 CFR 1.492 (e)). ☐ 20 ☐ 30

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	21	- 20 =	1	x \$18 00	\$18.00
Independent Claims	2	- 3 =		x \$80 00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$270 00	\$270.00	

TOTAL OF THE ABOVE CALCULATIONS =

\$1148.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2

\$574.00

SUBTOTAL =

\$574.00

Processing fee of \$130.00 for furnishing the English translation later than
months from the earliest priority date (37 CFR 1.492(f)). ☐ 20 ☐ 30

\$

TOTAL NATIONAL FEE =

574.00

Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by
an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +

\$

TOTAL FEES ENCLOSED =

\$574.00

Amount to be
refunded

\$

charged

\$

- a. ☒ A check in the amount of \$ 574.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 06-0916. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information
should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b))
must be filed and granted to restore the application to pending status.**SEND ALL CORRESPONDENCE TO:**Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, D.C. 20005-3315

SIGNATURE
NAME/REGISTRATION NO.
Ernest F. Chapman /25,961

DATED: June 5, 2001

518 Rec'd PCT 06 AUG 2001

PCT



PATENT
Customer No. 22,852
Attorney Docket No. 7203-25

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Nicholas Gerard Byrne et al.) Group Art Unit: Not Yet Assigned
)
Serial No.: 09/857,456) Examiner: Not Yet Assigned
)
Filed: June 5, 2001)
)
For: Interface Patch Clamping)
)

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

PRELIMINARY AMENDMENT

Prior to the examination of the above application, please amend this application as follows:

IN THE CLAIMS:

Amend claims 10-12 and 15-18 as follows:

10. (Amended) A method according to claim 2, in which contact between the pipette tip and the air/liquid interface and/or subsequent movement of the pipette tip into the liquid is detected by monitoring pipette capacitance.

11. (Amended) A method according to claim 2, in which if no cell is contacted at or near the interface as or within a predetermined time after contact between the pipette and the interface, the pipette is withdrawn from the interface and then moved back to the interface to repeat the attempt to contact a cell.

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12. (Amended) An apparatus for carrying out the method of claim 2, which is a computer controlled apparatus including the following elements:

- i) a patch clamp amplifier;
- ii) a source of variable suction for a patch clamp pipette under the control of the patch clamp amplifier;
- iii) a holder for a capillary tube to be mounted vertically;
- iv) a holder for a patch clamp pipette to be mounted vertically in the same axis as the capillary tube in an inverted orientation with the tip pointing upwardly;
- v) a manipulator for controlling relative movement of the capillary tube and pipette along a common axis of movement under feedback control from the patch clamp amplifier and allowing for the tip of the pipette to enter a downwardly facing end of the capillary tube.

15. (Amended) A computer-program-controlled patch clamping process for carrying out the method of [any of claims 1 to 11] claim 1 or 2.

16. (Amended) A computer-program-controlled patch clamping process for controlling the apparatus of claim 12 or 13.

17. (Amended) A computer-readable medium carrying a computer program for controlling a computer to implement the method claim 1 or 2.

18. (Amended) A method for controlling a computer by means of a computer program for implementing the method of claim 1 or 2.

REMARKS

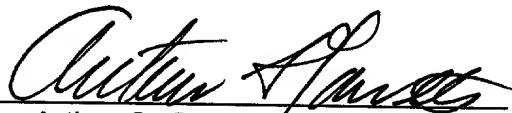
Claims 10-12 and 15-18 have been amended to eliminate improper multiple dependency and so they will be considered upon examination of this application.

If there is any fee due in connection with the filing of this Preliminary
Amendment, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: August 6, 2001

By: 
Arthur S. Garrett
Reg. No. 20,338

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Application Number: 09/857,456
Filing Date: June 5, 2001
Attorney Docket Number: 7203-25

APPENDIX TO AMENDMENT OF AUGUST 6, 2001

Amendments to the Claims

10. (Amended) A method according to [any preceding] claim 2, in which contact between the pipette tip and the air/liquid interface and/or subsequent movement of the pipette tip into the liquid is detected by monitoring pipette capacitance.

11. (Amended) A method according to [any preceding] claim 2, in which if no cell is contacted at or near the interface as or within a predetermined time after contact between the pipette and the interface, the pipette is withdrawn from the interface and then moved back to the interface to repeat the attempt to contact a cell.

12. (Amended) An apparatus for carrying out the method of [any preceding] claim 2, which is a computer controlled apparatus including the following elements:

- i) a patch clamp amplifier;
- ii) a source of variable suction for a patch clamp pipette under the control of the patch clamp amplifier;
- iii) a holder for a capillary tube to be mounted vertically;
- iv) a holder for a patch clamp pipette to be mounted vertically in the same axis as the capillary tube in an inverted orientation with the tip pointing upwardly;
- v) a manipulator for controlling relative movement of the capillary tube and pipette along a common axis of movement under feedback control from the patch clamp amplifier and allowing for the tip of the pipette to enter a downwardly facing end of the capillary tube.

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15. (Amended) A computer-program-controlled patch clamping process for carrying out the method of [any of claims 1 to 11] claim 1 or 2.

16. (Amended) A computer-program-controlled patch clamping process for controlling the apparatus of claim 12[, or 13 [or 14].

17. (Amended) A computer-readable medium carrying a computer program for controlling a computer to implement the method [any of claims 1 to 11 or to control the apparatus of claim 12, 13 or 14] claim 1 or 2.

18. (Amended) A method for controlling a computer by means of a computer program for implementing the method of [any of claims 1 to 11] claim 1 or 2.

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INTERFACE PATCH CLAMPING

Introduction

The present invention provides a novel development of the conventional patch clamp technique. This novel technique
5 is referred to as the interface patch clamp method.

Voltage gated ion channels are potential targets for a considerable range of novel treatments in a variety of disease states. The development of the patch clamp technique has provided a powerful method for the study of
10 ion channel function and pharmacology in whole cells. However, while the patch clamp technique provides a definitive method for the investigation and screening of drugs with potential activity on voltage gated ion channels, the technique is currently highly dependent on
15 the skill of the operator and tends to be very slow for drug screening. The present invention provides a method for increasing the rate at which compounds may be screened for ion channel blocking/agonist activity using the patch clamp technique. The method can retain the essential
20 features of the conventional patch clamp recording system while facilitating automation of the major time-consuming components of the technique.

Background: Conventional Patch Clamp

The success of the patch clamp technique is derived from
25 the ability to form "tight" (i.e. high resistance: Giga Ohm) electrical seals between an area of the cell membrane (the Patch) and the tip of a pipette. The patch clamp pipette is usually made from glass. The formation of the G-seal is dependent on the profile of the top of the
30 pipette, and is enhanced by the application of suction to

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the interior of the pipette. The requirements for the formation of the G-seals are well established and the process is usually monitored electrically by display of the current pulse recorded in response to a small voltage step applied throughout seal formation. After formation of a G-seal, the area of membrane under the pipette may be disrupted to obtain whole cell voltage clamp recording mode.

The sequence of events leading to successful G-seal formation and whole cell recording mode using pre-formed patch pipettes is as follows:

1. Selection of a suitable cell.
2. The patch pipette is positioned approximately 50 microns above the cell.
3. The pipette is lowered until the cell surface is deformed by the pipette tip.
4. Negative pressure is applied to the interior of the pipette until a G-seal is formed between the pipette tip and the cell membrane.
5. Whole cell recording mode is established by the application of further negative pressure which disrupts the cell membrane in the area under the pipette tip.

Steps two and three are slow and require considerable manual dexterity and a high level of operator skill. Visualisation of the cells and the patch pipette requires the use of a high quality microscope and, in order to position the pipette, a high quality three axis

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micromanipulator with sub-micron resolution in each axis is required.

Summary of the Invention

In its broadest terms the invention provides for one or
5 more cell or cells to be suspended in a liquid medium at a liquid/air interface (by virtue of the effect of surface tension at the interface) whereby the cell or cells are accessible at the interface to a microstructure electrode (such as a pipette tip) to which a cell can attach to form
10 an electrical seal, for the purpose of whole cell voltage clamp recording. According to the invention the electrode can be caused to form a high resistance electrical seal with a cell suspended in the liquid at the liquid/air interface without the need to press the cell against a
15 solid support surface.

Any body of liquid or column of liquid, which gives rise to a situation in which a cell or cells are located in the liquid at a liquid/air interface, can be used in the invention. For examples cells may be suspended in a
20 column of liquid held by surface tension in a capillary tube. Alternatively cells may be suspended in a droplet of liquid, which droplet may itself be suspended from or supported by a support.

It will readily be appreciate that the interface patch
25 clamp technique can be operated in "single cell mode", or could be multiplexed to operate on a matrix of cells with multiple electrodes.

According to one aspect of the invention, interface
patching can utilise a patch pipette of conventional type.
30 Cells are supported on a liquid/air interface at one end

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of a capillary tube (e.g. made of glass, polyethylene or other suitable material). The axis of the patch pipette is in line with the axis of the tube so that the pipette tip can be manipulated into the opening of the tube where the cells are supported at the air/liquid interface. The capillary tube or the patch pipette can be mounted onto a single axis manipulator. Only one manipulator is required and this may be used to move either the patch pipette or the capillary tube. Whole cell recording mode is established as follows:

6. A layer of cells is established at the interface between the extracellular physiological solution (the liquid in which the cells are suspended) and air by dipping the capillary tube into a suspension of cells. The density of cells in the suspension must be sufficient to provide a sufficient number of cells to form a layer of cells at the interface.
7. Electrical contact with the extracellular solution is established via a non-polarizable electrode (e.g. an Ag/AgCl wire) and the tube is mounted either to a fixed clamp or single axis manipulator.
8. A patch pipette is provided which can be filled with electrolyte solution.
9. The patch pipette is mounted concentrically with the capillary tube either via a single axis manipulator or fixed clamp (if the capillary tube is to be moved). The pipette filling solution is connected via the non-polarizable electrode to the headstage of a conventional patch clamp amplifier. The pipette holder allows suction to be applied to the pipette interior.

- 5 -

10. Cell attached patch mode of recording is established by bringing the pipette tip in contact with the interface by moving the pipette and the capillary tube respectively together along the single mounting axis (e.g. either by moving the pipette towards the tube and interface or vice versa). On entry into the interface the movement of the pipette and capillary tube together is stopped and the pipette current is offset to zero on the patch clamp amplifier. The resistance of the pipette increases when the pipette contacts one of the cells at the air/liquid interface. Suction is then applied to the interior of the pipette and the pipette and capillary tube are moved closer together until the pipette tip is located inside the capillary tube.

Initial seal formation between the pipette tip and the cell may also be assisted by the application of gentle suction during entry of the pipette into the interface.

- A G-seal is formed between the patch pipette tip and the cell membrane by the application of further suction to the interior of the pipette and monitoring the pipette resistance.

11. Following the formation of cell attached patch mode, the suction is released, pipette current is offset to zero and a holding voltage applied to the pipette (e.g. -60mV).

12. A whole cell recording is obtained by the application of further suction to the pipette interior until the whole cell recording mode is established in conventional manner.

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According to this invention it is preferred that the capillary tube should be mounted in an upright orientation (i.e. essentially vertically) with the air/liquid interface at the downward end of the tube.

5 This has the advantage that suspended cells will tend to "sediment" naturally to the downward end of the tube and be collected there in a layer. The layer will preferably be several cells deep and loosely packed. Thus according to the invention the pipette tip may be moved upwardly
10 relative to the air/liquid interface at the tube end (either by moving the pipette or the tube along the single axis) so as to come into contact with a cell in the layer at the interface. The relative density or concentration of cells at the interface compared to the density in the
15 bulk of the liquid in the tube ensures a high probability that a cell can be collected on the tip without the need for visualisation of the operation and without the need for multidirectional manipulation of the tip/cell positional relationship. Surprisingly it has been found
20 that G-seal formation between the cell and the pipette can occur without pressing the cell against a solid substrate.

Where the arrangement is intended to operate with the pipette in an upright orientation (i.e. essentially vertically) with the tip uppermost and pointing upwardly,
25 the pipette should be constructed so as to prevent the filling electrolyte solution flowing out and being lost. This may be achieved for example by use of a custom-made mounting assembly and/or by shaping the pipette body to prevent loss of filling solution (e.g by bending the
30 pipette shaft into a U- or J- shape).

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The invention also provides methods and apparatus employing control logic to allow automation of a patch clamp system employing the Interface Patch Clamp technique described herein. The logic described will control one or
5 more electromechanical micromanipulators/translators holding one or more patch clamp pipettes and/or capillary tubes in order to patch clamp cells and apply drugs/compounds in order to screen for activity on membrane ion channels. A major advantage of the logic
10 described is that automation is achieved in this system by the use of feedback from signals from the patch clamp amplifier and no image recognition software is required.

The invention is illustrated by way of example in the accompanying figures in which:

- 15 Figure 1a shows a capillary tube containing a suspension of cells; and
Figure 1b shows the cells having formed a layer at the air/liquid interface at one end of the capillary tube;
Figure 2 shows a general arrangement of the interface
20 patch clamp recording equipment with moveable capillary tube;
Figure 2a shows an Apparatus for Interface Patch Clamping with drug/compound application;
Figure 3 shows the cell attached to the patch pipette
25 ready for recording mode.
Figure 4 shows drug/compound addition during interface patch clamp recording: start position;
Figure 5 shows drug/compound addition during interface patch clamp recording: extracellular solution added to
30 dish and dish moved down;
Figure 6 shows drug/compound addition during interface patch clamp recording: solution in dish brought into contact with interface region;

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Figure 7 shows drug/compound addition during interface patch clamp recording: capillary raised above surface of solution in dish;

5 Figure 8 is a flow diagram of control logic embodying a further aspect of the invention;

Figure 9 is a flow diagram of an example of the G-seal formation steps of figure 8;

Figures 10 to 16 are flowcharts of a third embodiment of the control logic used in the invention, wherein

10 Figure 10 is a flow diagram of control logic embodying a further aspect of the invention;

Figure 10a is a flow diagram expanding the reset routine of figure 10;

15 Figure 10b is a flow diagram expanding the safe quit routine of figure 10;

Figure 11 is a flow diagram expanding the interface or cell locator routine of figure 10;

Figure 11a is a flow diagram expanding the interface hit routine of figure 11;

20 Figure 12 is a flow diagram expanding the Giga seal test routine of figure 10;

Figure 13 is a flow diagram expanding the whole cell detection routine of figure 10;

25 Figure 14 is a flow diagram expanding the qualification routine of figure 10;

Figure 15 is a flow diagram expanding the quality monitor routine of figure 14;

Figure 16 is a flow diagram expanding the experiment routine of figure 10;

30 Figure 16a is a flow diagram expanding the run trace routine of figure 16;

Figure 16b is a flow diagram expanding the stabilise routine of figure 16;

35 Figures 17 to 20 show recordings of current (1) and voltage (2) obtained from an automated patch clamp system

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(AutoPatch) under software control using the Interface Patch Clamp technique. (Recording from an MK1 cell); Figure 21 shows the effect of the potassium channel blocking drug tetraethylammonium (TEA) on the potassium current recorded from MK1 cell in whole cell recording mode obtained using the Interface Patch Clamp technique; Figure 22 shows cells suspended in a droplet of liquid covering a hole through a support surface and providing an air/liquid interface according to the invention; Figure 23 shows a further embodiment of droplets containing cell suspensions forming air/liquid interfaces according to the invention; Figure 24 shows a multiplexed interface patch clamping array; Figure 25 shows an alternative form of electrode microstructure according to the invention; and Figures 26a and b show a multiwell arrangement for drug application to patch-clamped cells.

Referring to Figure 1a; a capillary tube (1) of appropriate size can pickup and hold a liquid sample (2) containing cells (3) in suspension. The sample can be picked up simply by dipping the tube end into a suitable bulk liquid reservoir. The liquid in the tube forms an air/liquid interface (4) at the tube end (5). The cells are initially distributed throughout the liquid relatively evenly.

Referring to Figure 1b; with the tube in an upright essentially vertical orientation, the cells tend to sediment and to pack loosely together at the lower end of the tube by the tube end to form a layer (6) several cells deep. It will be appreciated by those skilled in the art that the density and depth of the cell layer can be determined by such factors as the cell concentration in

- 10 -

the original suspension, the sedimentation time, the relative density of the cells and the liquid etc. It will also be appreciated that means could be devised to encourage or assist cells to migrate from the liquid
5 towards the air/liquid interface rather than or as well as relying on gravitational sedimentation alone. The Figure also shows the top of a patch pipette 8 pointing upwardly towards the interface.

Referring to Figure 2; an arrangement is shown in which a
10 single axis manipulator is used to move a capillary tube 1 held in a clamp (7) relative to a fixed patch pipette (8) held in a clamp (9). It will be apparent to those skilled in the art that this could be reversed so that the pipette is moved and the tube is fixed. The Figure shows the tube
15 clamped in a linear bearing sliding block (10) attached to a motorised single axis manipulator (11). The manipulator should be controlled preferably by computer in order to allow the motion of the manipulator to be varied by feedback from the patch clamp amplifier. The patch
20 pipette is provided with a connection (12) to a conventional headstage. The system is also provided with a source of variable suction under the control of the patch clamp amplifier/computer.

In Figure 2a an arrangement is shown in which additional
25 electromechanical micromanipulators have been added. The micromanipulator labelled (13) is for moving the glass capillary under automated or manual control. A second micromanipulator (14) moves the dish for drug application up and down the glass capillary. A third micromanipulator
30 (15) moves a modified pipette holder to provide electrical contact with the pipette and a means of applying suction to the interior of the pipette. Rotational bases (16 and 17) allow the pipette holder to be moved in and out of the

- 11 -

recording area and rotation of the pipette through 180 degrees for filling with pipette solution.

The Figure also shows additional features, namely; a pipette holder (18); a patch clamp headstage (19); and a
5 dish holder (20).

A version of the apparatus is envisaged in which patch pipettes will be loaded and filled automatically under software control. It is envisaged also that the loading of capillary glass into the apparatus and the filling with
10 cell suspension will also be automated.

Referring to Figure 3; a G-sealed cell 3 is shown held on the tip of the patch pipette 8 and positioned within the entrapped liquid volume in the tube.

Cell attached patch and whole cell (voltage clamp)
15 recording may then be carried out.

The invention described herein has a number of significant features:

- Visualisation of the pipette and the cell is not
20 required.
- Novel recording configuration that would not be considered as obvious.
- Surprisingly G-seal formation occurs without pressing the cell against a hard substrate.
- 25 • Cells form a layer at the solution-air interface.
- G-seal formation may be achieved using electronic feedback alone.
- There is no requirement for optical recognition/feedback.
- 30 • The system can be automated.

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- Multiple recording capillaries and pipettes may be employed in order to allow recordings to be made simultaneously from many cells.

Exemplary methods of operation of the apparatus of the
embodiment under software control to achieve various of
these advantages are described below.

In order to use the invention for screening compound (e.g.
for ion channel blocking/agonist activity) the compound of
interest needs to be applied to the cell attached to the
patch pipette. It will readily be appreciated that this
could be achieved in different ways, for example by adding
the compound to the extracellular liquid in the capillary
tube either before or after G-seal formation. One
additional advantage of the invention is that the liquid
in the tube could be arranged in layers (e.g. containing
different compounds or different concentrations of
compounds) and the single axis manipulator could then be
used to physically move and position a cell on a pipette
tip into a chosen layer (e.g. by moving the G-sealed cell
on the tip further up the tube away from the air/liquid
interface at one tube end).

A further example of how the effects of compounds may be
studied is illustrated in figures 4 to 7.

Figure 4 shows a capillary (1) containing the cell
suspension (2) and patch pipette (8) in the recording
position for whole cell recording from a cell at the
pipette tip. In addition, the capillary tube has been
inserted through a hole (21) made in a dish (22) (e.g.
35mm plastic culture dish or similar). The dish is made
of a material with hydrophobic properties and the hole

- 13 -

allows the dish to be raised and lowered along the axis of the capillary by means of a micromanipulator (14).

Figure 5 shows the dish after it has been filled with extracellular physiological solution (23), which may
5 contain the drug to be studied, or the drug may be added at a later stage. Surprisingly, if the fluid level in the dish is low, leakage through the hole does not occur because the tendency to leak is counterbalanced by:

1. The surface tension of the water
- 10 2. The attraction of the water/solution to the glass capillary.

After adding the solution to the dish, it is lowered in the direction of the arrow.

Figure 6 shows the solution in the dish in contact with
15 the end of the glass capillary and the patch pipette. The dish and the capillary are now raised simultaneously (arrows A and B) in order to position the pipette tip/cell within the layer of liquid in the dish. If drug is
20 present in the dish at this point and the capillary and dish were moved upwards rapidly, this would constitute a rapid application system particularly useful for the study of agonist responses that desensitise.

Figure 7 shows the effect of raising the capillary so that it is not in contact with the liquid in the dish. The
25 pipette tip/cell remains immersed in the external solution layer in the dish. The solution may be exchanged readily by perfusion of the dish and this allows multiple drug additions and dose response curves to be obtained while recording from the one cell.

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Control Logic for an Automated Patch Clamp System

Introduction

The following describes three embodiments of the control logic required to allow automation of a patch clamp system employing the Interface Patch Clamp technique described herein. In each case, the logic described will control one or more electromechanical micromanipulators/translators in order to patch clamp cells and apply drugs/compounds in order to screen for activity on membrane ion channels. A major advantage of the logic described is that automation is achieved in this system by the use of feedback from signals from the patch clamp amplifier and no image recognition software is required.

15 Methods

Inputs to the program in all the embodiments are required from the patch clamp amplifier as follows:

Imon = current monitor output

Vhold = holding potential

20 Inputs to the program derived from patch clamp amplifier output signals are required as follows:

Inoise = base line current noise recorded from Imon

Rpip = pipette resistance

Rtot = Total resistance

25 Rs derived from Imon signal during voltage step

It is envisaged that these signals and evaluated values will be obtained from existing software (such as Heka)

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software) via a suitable software interface. These signals and evaluated values are further defined in the list of variables and parameters below.

Inputs from manipulators/translators are required as follows, or from the following devices.

Patch module micromanipulator encoder
Capillary clamp/loader encoder and empty signal
Pipette automated clamp/loader encoder and empty signal
Two axis translator encoders for cell dipper
Drug application micromanipulator encoder
Pipette holder micromanipulator encoder

Control outputs from computer are required for the following devices.

Patch module manipulator
Pipette automated holder
Capillary loader/clamp
Pipette loader/clamp

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Two axis translator for cell loading system
Pipette clamp
Suction device
Drug application manipulator
Drug perfusion solenoid valve system

The software uses signals derived from the patch clamp amplifier in order to control a number of peripheral devices which carry out patch clamping using the Interface Patch Clamp technique. The devices controlled by the logic comprise a number of micromanipulators, a suction device for the patch pipette and a valve system for perfusion of a recording chamber, such as the dish described above.

A number of parameters are given pre-set values which can be changed by the operator to suit different experimental conditions.

Summary of the control logic for the automated Interface Patch Clamp - First Embodiment

Initial seal formation

The sequence of movements required for formation of a G-seal is unique for interface patch clamping and involves the control of at least one single axis manipulator (e.g. the patch module motor, although either the pipette or the capillary may be moved to achieve the necessary relative movement between them) with feedback from the patch clamp amplifier. In a first embodiment of the control logic, the pipette is initially spaced from the capillary, as illustrated in Figure 1b for example, and is moved towards the liquid/air interface at the capillary end until a change in the current monitor signal is recorded when the patch pipette enters the liquid/air interface and this

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signal is used as the trigger to stop the micromanipulator. The pipette resistance may be derived from the output of the patch clamp amplifier and initial seal formation is monitored by recording the change in pipette resistance. If the resistance of the pipette does not increase beyond a pre-set value, the control logic infers that no G-seal has been formed and activates the patch module motor to move the liquid/air interface and the pipette apart until the resistance increases, which may occur when the pipette tip is withdrawn from the liquid or when a narrow neck of liquid is drawn out by surface tension between the pipette tip and the capillary end. When a resistance increase to a pre-set value is recorded suction is applied to the interior of the patch pipette and the patch pipette and the liquid/air interface are moved towards each other to a pre-set point, in a further attempt to form a G-seal with a cell.

Whole cell recording mode

After formation of the cell attached patch clamp recording mode, whole cell mode is obtained by the application of suction to the interior of the patch pipette while simultaneously monitoring the current (I_{mon}) for capacitative transients. In the logic described, the formation of whole cell recording mode is detected by a threshold crossing method but it will be apparent to those skilled in the art that other methods may also be employed e.g. online FFT (Fast Fourier Transform), Template Matching etc. The control logic checks for incorrect detection of whole cell mode before activating the experimental protocol.

Cell quality test

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This routine monitors the quality of voltage clamp by comparing the series recorded resistance with a value related to pipette resistance. It will be appreciated that this method may be further enhanced by relating the acceptable series resistance to the amplitude of current evoked by a voltage step. In addition, an additional loop may be added to include the possibility of recording with a maintained level of suction applied to the pipette in cells that exhibit continuously increasing values of series resistance. The quality of the cell is also monitored by the holding current which should not be more negative than a pre-set value. It will be appreciated that this method could be enhanced by relating the acceptable value for holding current to the amplitude of the current in response to a voltage step.

Drug/compound application

The initial phase of drug application is unique to the interface patch clamp technique and involves the control of two single axis micromanipulators. The movements required utilise the position of the patch module micromanipulator recorded on entry into the interface as a reference point. After the cell has been immersed into solution contained in a perfusion chamber, the control logic calls a routine to carry out perfusion of the chamber via the activation of solenoid flow control valves.

Control Logic in detail - (First Embodiment)

Variables/parameters

P = Pipette pressure relative to atmospheric pressure defined as 0
 d = Patch module motor position
 d0 = Patch module motor start position
 d1 = Patch module motor position following entry of pipette into interface
 d2 = Patch module motor position with pipette in recording position
 d3 = Patch module motor position for chamber perfusion
 dapp = Drug application module micromanipulator position
 dapp0 = Drug application module micromanipulator position 0
 dapp1 = drug application micromanipulator position increment 1 Pre-set increment
 dapp2 = drug application micromanipulator position increment 2 Pre-set increment
 Rs = Series resistance
 Cslow = Slow capacitance compensation
 Cfast = Fast capacitance compensation
 Inoise = base line noise
 Rpip = pipette resistance
 Rtot = Total resistance
 R1 Initial seal resistance (pre-set value)
 R2 Seal resistance required for progression to whole cell

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Vhold = Pre set holding potential in mV
 Imon = current monitor output
 i = pre-set holding current
 Ihold base line holding current for holding potential
 dpip = pipette holder module motor position
 dpip0 = pipette holder module motor position start
 dpip1 = pipette holder module motor position pipette on
 pclamp = position of pipette clamp/loader encoder
 pclamp = 0 pipette not clamped (loading position)
 pclamp = 1 pipette clamped (recording position)
 rotclamp = position of rotory stage mounting for pipette loader
 rotclamp = 0 recording position
 rotclamp = 1 pipette filling position
 pipfil = pipette filler position
 pipfil = 0 pre-/post-fill position
 pipfil = 1 fill position
 pipsyringe = pipette filler driven syringe position
 pipsyringe = dv driven syringe movement required to fill pipette (pre-set value)
 cclamp = position of capillary clamp/loader encoder
 cclamp = 0 capillary not clamped (loading position)
 cclamp = 1 capillary clamped (recording position)
 pload = pipette loader empty signal
 pload = 0 pipettes in loader
 pload = 1 pipette loader empty
 cload = capillary loader empty signal
 cload = 0 capillaries in loader
 cload = 1 capillary loader empty
 celldiph = horizontal translator for cell dip
 celldiph = 0 cell storage encoder position (pre-set)
 celldiph = 1 dip encoder position (pre-set)
 celldipv = verticle translator for cell dip
 celldipv = 0 pre-/post- dip encoder position (pre-set)
 celldipv = 1 capillary dip encoder position (pre-set)
 tdelay = variable delay between clamping capillary and starting to patch clamp
 dt = time interval
 dt1 = pre-set waiting time interval suction off (s)
 dt2 = pre-set suction time interval (s)
 dt3 = pre-set suction time interval (s)
 dt4 = pre-set suction time interval (s)
 dt5 = pre-set suction time interval (s)
 x = suction increment factor
 f = frequency of seal test pulse
 detectmin = 0 -ve capacitance transient (3Inoise threshold) not detected
 detectmin = 1 -ve capacitance transient (3Inoise threshold) detected
 detectmax = 0 +ve capacitance transient (3Inoise threshold) not detected
 detectmax = 1 +ve capacitance transient (3Inoise threshold) detected

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I = Whole cell mode flag
 I = 0 Not whole cell
 I = 1 Whole cell mode established
 singlemV = pre-set voltage test pulse
 curr = current recorded between pre-set cursors during voltage step
 testcurr = pre-set value for current required to start experimental protocol
 Valve 1 - 8 = solenoid valves controlling supply of solution to perfusion dish
 tv = time interval for valve activation (pre-set)
 drain valve = controls suction supply to perfusion dish

Control Logic - Second Embodiment

Control logic according to a further embodiment is illustrated as a flow diagram in Figure 8. Exemplary logic steps within each of the functions shown in the flow diagram are set out below.

00 Initialisation

d = d0
 dpip = dpip0
 pclamp = 0
 pipfil = 0
 rotclamp = 0
 cclamp = 0
 celldiph = 0
 dapp = dapp0
 Rtot \geq 20M
 Imon = Inoise
 P = 0
 If pload = 0 and cload = 0 then GOTO 01
 If pload = 1 then report "Re-load pipette cassette" and GOTO 19
 If cload = 1 then report "Re-load capillary cassette" and GOTO 19

01 Autofeed

Move capillary clamp motor cclamp = 1
 Move celldiph translator to celldiph = 1
 Move celldipv translator to celldipv = 1
 Move celldipv translator to celldipv = 0
 Move celldiph translator to celldiph = 0
 start timer
 wait for variable delay = tdelay
 GOTO 02

02 Pipette load/fill

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Move pipette clamp motor pclamp = 1
Move rotation stage 180 degrees rotclamp = 1
move pipfil until pipfil = 1
move motor driven syringe drive until pipsyringe = dv
move pipfil until pipfil = 0
move rotation stage 180 degrees rotclamp = 0
move dpip = dpip1
GOTO 03

03 Junction null

Seal test signal on
Compensate Cfast
Move patch module down until Imon >/< Inoise
5 Record patch module motor position d=d1
Activate Junction null
Measure Rtot
Rtot=Rpip
If Rpip <10M and >/=4M GOTO 04 else Report "Pipette
10 resistance out of range" and GOTO 20

04 Formation of Gseal

Measure Rtot
If Rtot=/> 2Rpip
Suction on P=-pmmHg
15 Move patch module down until d=d2
(d2 =pre-set recording position)
GOTO 05

04.1

If Rtot <2 Rpip
20 Wait for time t1
After time t1 move patch module upwards
until Rtot>2Rpip then stop. Wait until

- 22 -

Rtot=2Rpip then move patch module down
until d=d1

If d=d1 and Rtot= \geq 2Rpip then suction on
P = -pmmHg

5 and move patch motor module down until
d=d2, else GOTO 04.1 until maximum of 5
iterations, then GOTO 20

05 Seal test loop

10 05.1 N=N+1

- 23 -

Measure R_{tot} with $-pmmHg$ for time interval Δt_2

If $R_{tot} \geq R_1$ and $\Delta t \leq \Delta t_2$

Then suction off $P = P_0$ until $R_{tot} \neq R_2$ or $\Delta t = t_1$

If $R_{tot} < R_1$ and $\Delta t > t_1$

Then repeat 05.1 until $N=5$ or $R_{tot} \neq R_2$

If $N = 5$ and $R_{tot} < R_2$ Then suction on $-xpmmHg$

Repeat 05.1 until $N = 5$ or $R_{tot} \neq R_2$

If $N = 5$ and $R_{tot} < R_2$ Then suction on $-xpmmHg$

Repeat 05.1 until $N = 5$ or $R_{tot} \neq R_2$ or $x = p_{max}$

If $x = p_{max}$ and $R_{tot} < R_2$ Then Report "Unable to obtain G seal" GOTO 20

If $R_{tot} \neq R_2$ then GOTO 06 for Whole Cell Mode or
GOTO 08 for Cell Attached Patch Mode

06 Whole Cell - Threshold Method

Compensate C_{fast}

$I = 0$

$h_p = v_{hold}$

06.1 Suction on $-pmmHg$ until $I=1$ or $\Delta t = \Delta t_3$

06.2 Transient detection

$N = N+1$

If $detect_{max} = 1$ and $detect_{min} = 1$

Then $I = 1$

Repeat 06.2 until $detect_{max} = 0$ and $detect_{min} = 0$ or $N = 10$

If $N = 10$ Then GOTO 07

If $detect_{max} = 0$ and $detect_{min} = 0$

Then GOTO 06.1

07 Cell quality test

Measure R_s and I_{hold}

If $R_s \neq 3R_{pip}$ Suction on $-pmmHg$ and start timer

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When $R_s < 3R_{pip}$ suction off

If $I_{hold} < i_{pA}$ then and $R_s < 3R_{pip}$ then seal test signal off
and GOTO 08

If $I_{hold} > i_{pA}$ and time int = dt4 then GOTO 20

If $R_s \neq 3R_{pip}$ and time int = dt5 then suction on
-pmmHg

If $R_s < 3R_{pip}$ and $I_{hold} < i_{pA}$ then seal test signal off and
GOTO 08

08 Experimental protocol

Apply single voltage step to single mV
Measure current amp during voltage steps
If curr < testcurr then stop voltage steps
Report "Control current out of range"
GOTO 15

If curr \neq testcurr activate voltage step protocol GOTO 09

Measure R_s
If $R_s \neq 3R_{pip}$ stop voltage protocol and GOTO 07
Measure I_{hold} during interval between voltage steps

If $I_{hold} > i_{pA}$ then stop voltage step/drug application
protocol and GOTO 01

09 Voltage step protocol

Uses program already available
Program must call drug application sub-routine 10

10 Drug/compound application

drain valve on
Fill drug application chamber Valve1 on for time interval

tv

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Move drug application micromanipulator down to d1
 -dapp1 (NB -ve value represents downward movement)
 Move dapp = d1 + dapp2 and d = d2 + dapp2
 simultaneously
 Move d = d3 glass capillary moved up
 Call software controlling flow control valves

15 Reset Autopatch

GOTO 00.

19, 20

Steps 16 onwards are routines for setting
 up the apparatus controlled by the
 software/method and do not relate to the
 inventive operation of the apparatus and
 their design is within the normal
 competency of the skilled person. Steps
 19 and 20 relate respectively to reloading
 the pipette and capillary cassettes and to
 checking and/or resetting of the apparatus
 if operation is unsuccessful.

Figure 9 is a flow diagram illustrating steps 03 and 04,
 which relate to formation of the G-seal. These steps
 comprise the most important advantageous steps in this
 embodiment for controlling the method and apparatus
 described herein.

In step 03 (junction null), the pipette tip is initially
 spaced below the meniscus at the end of the capillary.
 The logic, or software, then controls the patch module
 motor to move the pipette tip towards the meniscus until
 contact is made, detected by electrical contact
 therebetween. The movement is then stopped while the

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pipette resistance is measured and the motor position recorded ($d=d_1$, as shown in figures 1b and 3). If R_{tot} is outside a predetermined range, the experiment is aborted.

In step 04, R_{tot} is measured and if it is above a
5 predetermined threshold, it is assumed that a cell is positioned on the pipette tip so suction is applied to the pipette and the logic controls the patch module motor to move the pipette tip further into the liquid within the capillary to a predetermined recording position ($d=d_2$, as
10 shown in figures 1b and 3). The logic then moves to step 05 to test the G-seal.

If at the start of step 04, R_{tot} is less than the predetermined threshold, the logic assumes that there is no cell at the pipette tip. The logic then waits for a
15 predetermined time interval t_1 before controlling the patch module motor to move the capillary away from the pipette until R_{tot} is measured to be greater than the predetermined threshold, when the movement is stopped. It is believed that in this position the pipette tip is still
20 in contact with the liquid in the capillary but only via a neck, or bridge, of liquid drawn out by surface tension between the capillary and the pipette. The logic then waits until R_{tot} drops to equal the predetermined threshold. The logic then controls the patch module motor
25 to return the pipette tip to $d=d_1$, the position when it first contacted the capillary meniscus in step 03. If R_{tot} is then greater than the predetermined threshold it is assumed that contact with a cell has been made at the pipette tip, suction is applied to the pipette and the
30 logic controls the patch module motor to move the pipette into the capillary to the predetermined recording position at $d=d_2$.

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It is believed that waiting for the time interval t_1 , which may be between 0.5 and 10 seconds, or preferably about 1 to 5 seconds, permits movement of the cells at the capillary tip, which is encouraged by the movement of the pipette tip to draw out the capillary meniscus.

If R_{tot} is still less than the predetermined threshold, the steps of waiting for time t_1 and slightly moving the pipette are repeated for a predetermined number of iterations until a failure condition (step 20) is reached.

Control Logic - Third Embodiment

Figures 10 to 16 are flow charts illustrating a third embodiment of the control logic of the invention. Aspects of the third embodiment are, where appropriate, common to the first and second embodiments. The third embodiment, however, incorporates certain improvements resulting from experiments by the inventor.

Figure 10 is a flow chart showing all of the operations of the control logic, or software. This is termed the AutoPatch system. Figures 11 to 16, and figures 10a, 10b, 11a, 16a and 16b, are expanded flow charts for operations within the flow chart of Figure 10.

Figure 10 describes the setting up of the AutoPatch system, including the initialisation of all the relevant hardware. This involves the steps up to starting the test sweep 302, after which the steps of interface or cell location 304, Giga seal testing 306, whole cell detection 308, qualification 310 and experiment 312 are performed as described herein. Note that during the patching process, the movements of the capillary and the petri dish are locked together by the software in order to maintain their

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positions relative to each other constant. The movement of the petri dish has no role in the patching process.

Initially the capillary and petri dish move towards the pipette at a rapid speed to a pre-set distant (step 314) in order to position the liquid/air interface within approximately 1mm of the pipette tip. This initial (rough) position is performed in order to shorten the time interval between starting the patching process and reaching the interface. The distance between the patch pipette tip and the liquid/air interface is initially larger than 1mm in order to allow sufficient space for loading of the pipette and the capillary. After rough positioning, the seal test pulse is started (step 316) and the translators (capillary and petri dish) are switched to slow speed prior to entry of the pipette into the liquid/air interface.

Figures 10a and 10b expand the safe quit routine 318 and the reset routine 320 used at various points by the control logic or software.

Figure 11 is a flow diagram expanding the interface or cell locator routine 304 of Figure 10. In this routine, suction is applied to the interior of the pipette, and the capillary and petri dish are moved towards the pipette at a slow rate (e.g. 10 micrometers/s) (step 322). The current is monitored after each sweep to determine when the interface is detected (step 324). The interface is detected by an offset in the baseline current or the appearance of a current pulse when the circuit is made 326. In contrast with conventional patch clamp, seal formation with the Interface Patch Clamp technique can occur virtually simultaneously with contact between the pipette tip and the external bathing solution at the

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interface 328. The logic distinguishes between an open circuit (pipette tip in air) and a rapidly formed seal by monitoring the current trace for the appearance of a capacitance transient at the end of the seal test pulse (step 326). This transient is due to the pipette capacitance, which increases as the pipette is immersed into the solution at the interface. As the pipette tip touches or crosses the air/liquid interface the capacitance is likely to be undetectable amongst electrical noise. As the pipette tip moves into the liquid, however, the capacitance increases until it is detectable over the noise.

After the pipette has entered the interface, the capillary (and movement of the petri dish) is stopped, a junction null may be performed and the pipette resistance is monitored. The presence of a cell on the pipette tip is indicated by an increase in pipette resistance which must reach a pre-set value before the logic will allow the system to proceed to the next step. After a cell has been detected the Giga seal test 306 is undertake.

Figure 11a expands the interface hit routine 330 of the interface or cell location routine 304 of Figure 11.

Figure 12 is a flow diagram of the Giga seal test routine 305 carried out after contact with a cell has been detected in the interface or cell location routine 304. The Giga seal test routine 306 comprises a repetitive loop 332 in which the level of suction applied to the pipette interior is increased in pre-set increments 334 and times 336 while monitoring the change in pipette resistance. The suction is increased until the maximum vacuum is obtained or Giga seal formation occurs. The loop ends and the suction is switched off 338 if either of these

- 30 -

conditions is satisfied. If the maximum suction has been applied but a Giga seal has not formed the loop is repeated until Giga seal formation or time-out. The formation of a Giga ohm seal is required in order to allow progression to the next stage.

Figure 13 expands the whole cell detection routine 308 of Figure 10. In this routine, the transients due to the pipette capacitance are cancelled and this is followed by a repetitive loop 340 in which the level of suction applied to the pipette interior is increased in pre-set increments 342 and times 344 while monitoring the current 346 for the appearance of capacitance transients. These transients are due to the charge and discharge of the cell membrane capacitance and are indicative of the formation of whole cell recording mode.

Figure 14 expands the qualification routine 310 of Figure 10. In order to qualify for use in an experiment the cell must exhibit a voltage gated (or other) current equal to or greater than a pre-determined amplitude and polarity 348 in response to a test pulse. Qualification proceeds until the cell qualifies or timeout is hit. During qualification the quality monitor 350 is run also.

Figure 15 is a flow diagram of the quality monitor routine 350 of Figure 14 and 16, described below. It comprises a repetitive loop in which the pipette suction is varied in response to measurements of series resistance (RSeries) and current (IMon). Current flow through the cell membrane via the pipette generates a voltage error due to RSeries. The value of RSeries often increases during whole cell recording and this effect can be reduced by the application of suction to the pipette interior 352. An increase in the value of the current at the holding

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potential (usually - 60mV) indicates loss of the Giga seal and this can be caused by excessive suction. Acceptable values for RSeries and IMon are entered in the settings for the software. The quality monitor runs both during
5 the qualification stage and the experiment.

Figure 16 expands the experiment routine 312 of Figure 10. In the experiment routine, movements of the petri dish and capillary required to carry out drug application by the method shown in figures 4-7 inclusive are carried out.
10 During these movements the dish is filled with external solution/external solution plus drug via solenoid operated flow control values 358. Before drug is added, the current evoked by the test pulse (or pulses) must be reproducible within a pre-determined percentage (entered
15 in the settings).

Figures 16a and 16b expand the trace run routine 354 and the stabilise routine 356 of the experiment routine 312.

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Figure 17 shows recordings of current (1) and voltage (2) obtained from an automated patch clamp system (AutoPatch) showing the formation of a Giga Seal under software control using the Interface Patch Clamp technique.
5 Recordings from an MK1 cell.

Figure 18 shows recordings of current (1) and voltage (2) from an automated interface patch clamp system (AutoPatch) showing the increase in capacitance transient observed after moving the capillary to position d2 (records a and
10 b). (c) and (d) were obtained after automatic compensation for pipette capacitance and a change in the holding potential to -60mV. Recording obtained from the same cell as Figure 17.

Figure 19 shows recordings of current (1) and voltage (2) from an automated interface patch clamp system
15 (AutoPatch). Records (a) and (b) were obtained after Giga seal formation (cell attached patch mode) using the interface patch clamp technique. The application of suction to the pipette interior under full software
20 control ruptured the membrane patch to obtain the whole cell recordings shown in (c) and (d). The establishment of the whole cell mode of recording is shown by the presence of the large capacitance transients on the current trace. Recording obtained from the same cell as
25 figures 17 and 18.

Figures 20 shows recordings of membrane current (1) and voltage (2) in whole cell recording mode obtained using an automated patch clamp system (AutoPatch) which employs the interface patch clamp technique. The holding potential
30 was -60mV and (a) and (b) show outward potassium currents (Kv1.1) in response to a voltage step to +30mV. Recording obtained from the same cell as figures 17, 18 and 19.

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Figure 21 shows the effect of the potassium channel blocking drug tetraethylammonium (TEA) on the potassium current recorded from MK1 cell in whole cell recording mode obtained using the Interface Patch Clamp technique.

5 After the establishment of whole cell recording mode the cell was positioned in a recording dish by the method shown in figures 4 to 7 and described in the text.

(a) shows the current obtained in normal extracellular solution

10 (b) shows the effect of replacing the solution in the dish with extracellular solution containing TEA (5mM).

(c) the blocking effect of TEA was reversed by washing.

It will be readily appreciated by those skilled in the art that:

15 1. The stability of recording using the interface patch clamp technique may be superior to that of conventional patch clamping. The greater stability of interface patch clamping is because the cell is held by the patch pipette alone. In conventional
20 patch clamp recordings the cell is held by the patch pipette and a solid substrate and vibration tends to move the pipette relative to the substrate causing loss of the G-seal. The interface patch clamp is, in contrast to conventional patch clamp apparatus,
25 relatively insensitive to vibration during drug application.

2. This method of drug application could be applied to a plurality of recording pipettes/capillaries and form the basis for a high throughput electrophysiological
30 assay system. It will readily be appreciated that the Interface Patch Clamp technique could be used with multiple pipettes and multiple capillaries in a

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manner in which each pipette enters its respective aligned capillary either individually in sequence or all together. Although not currently preferred, a single pipette could be used which is caused to enter more than one capillary sequentially. Multiple patch clamp recordings could be made either sequentially or simultaneously, depending on the application.

As was mentioned above, it is not essential to the general principle of the invention to use a capillary in order to create a column of liquid which gives rise to a liquid/air interface at which cells can be located. Other ways can be envisaged in which the same effect can be achieved. For example, as shown in figure 22, a droplet or "blob" of liquid may be provided on a support surface. The surface has a hole through it and the droplet covers the hole. Surface tension prevents the liquid from the droplet dropping through the hole. Within the droplet cells are suspended. This allows access to the droplet and the cells contained therein by a suitable electrode such as a patch pipette. In the arrangement shown in figure 22, means are provided for flow of other liquids in to and out of a dish or other container of which the support surface with the hole in it forms a wall. Once a cell has been attached to the electrode, other liquids may be introduced into the container either in batch mode or in flow-through mode in order to result in the cell being exposed at its external surface to the surrounding liquid. Clearly in such an arrangement, the original liquid and the remaining un-attached cells will tend to be washed away from the area of the electrode/pipette.

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It is within the scope of the invention that droplets might be provided on non-perforate support surfaces. As shown in figure 23, the effect of surface tension may be to allow droplets of a suitable liquid to adhere automatically to the underside of a suitable support surface. The support surface might for example be a cover slip of glass or other material. Droplets in which cells are suspended provide the air/liquid interface according to the invention and consequently may be used in a method of interface patch clamping as described above.

As has already been mentioned, the arrangement shown in Figure 23 as well as the arrangement shown in Figure 24 allows for the formation of a matrix of cell suspensions so that multiple electrodes can be multiplexed to take readings either simultaneously or sequentially (as well as singly).

It will be appreciated by those skilled in the art that a conventional glass "patch pipette" could be replaced by an equivalent electrode. It is considered to be within the scope of the present invention that the electrode might be either a single region or a matrix of regions on a sheet of material (such as a silicon wafer) which incorporates a microstructure to which a cell can be attached and which would provide the necessary electrical connection. For example, as shown in Figure 25, microstructures could be etched on to a silicon wafer (e.g. an oxidised silicon wafer), which microstructures would be designed and adapted to be able to capture a cell from the liquid/air interface of an arrangement according to the present invention. Thus, the performance and advantage of the invention is not limited to the currently preferred

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conventional glass patch pipette but would include functionally equivalent means.

As has been described before, a drug in liquid solution can be applied to the cell in a number of ways. For example the drug could be applied via the capillary if the air interface is formed in a capillary tube. Alternatively the drug can be applied by perfusion into a dish (as described with reference to figures 4 to 7). Furthermore, perfusion could be achieved by flowing the drug-containing liquid through a dish or container as shown in Figure 22.

A further arrangement for drug application is shown in Figure 26a and 26b. In this case the electrode (for example the patch pipette) penetrates through the lower wall of a well. A suspension of cells is loaded in to a capillary tube as previously described. Attachment of a single cell to each pipette tip follows, as described before. Once cells are attached to the pipette tips the capillary tubes containing the remainder of the cells in suspension can be removed. Subsequently, a drug solution (23) is dispensed into each well (Figure 26b) and patch clamp measurements can then be carried out on the cell in the environment of the surrounding drug solution.

Optimisation of Patch Clamping Conditions

Those skilled in the art will appreciate that within the general teaching contained herein for the interface patch clamping method and apparatus, it may be necessary to optimise certain conditions for patch clamp measurements. For example the concentration and packing density of cells in the suspension may need to be optimised. Furthermore, the cells and/or solutions may be temperature sensitive.

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and an optimum temperature of operation may need to be determined. Since the invention relies on the formation of a liquid/air interface at which the cells are located, it may be necessary to optimise the osmolarity of the
s suspending liquid medium in order to achieve the optimum level of surface tension etc.

JC03 Rec'd PCT/PTC 05 JUN 2001

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Claims

1. A method for providing a cell attached to a patch clamp electrode and having a high resistance (Giga Ohm) electrical seal between an area of the cell membrane and the electrode, which includes the steps of:
- 5 i) providing a suspension of cells in a liquid;
- ii) causing the formation of a layer of cells at the interface between the air and the liquid in which the cells are suspended;
- 10 iii) bringing the patch clamp electrode into contact with the interface by moving one or both of the electrode and the interface respectively together;
- iv) contacting the electrode with a cell in the cell layer at or near the interface; and
- 15 v) causing attachment of the cell to the electrode.
2. A method according to claim 1 for providing a cell attached to the tip of a patch clamp pipette and having a high resistance (Giga Ohm) electrical seal between an area of the cell membrane and the tip; wherein the electrode of claim 1 is the tip of a patch clamp pipette; which method
- 20 includes the steps of:
- i) providing a capillary tube containing a suspension of cells in a liquid;
- ii) causing the formation of a layer of cells at one end
- 25 of the capillary tube at the interface between the air and the liquid in which the cells are suspended;
- iii) bringing the tip of the patch clamp pipette into contact with the interface by moving one or both of the pipette and the tube respectively together along a common
- 30 axis of movement;

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iv) contacting the tip with a cell in the cell layer at or near the interface; and

v) causing attachment of the cell to the tip.

3. A method according to claim 1 or 2 in which the
5 liquid in which the cells are suspended is an extracellular physiological solution.

4. A method according to claim 1 or 2 in which the layer of cells is several cells deep and loosely packed.

5. A method according to claim 2 in which the layer of
10 cells is formed by mounting the capillary tube in an essentially upright orientation and allowing the suspended cells to sediment to the downward end of the tube to collect there in a layer.

6. A method according to claim 2 in which the capillary
15 tube is mounted essentially upright with the interface at a lower open end of the tube and the pipette is mounted essentially upright with the tip upwardly pointing.

7. A method according to claim 2 in which the capillary tube and pipette are concentrically mounted with the
20 capillary tube in a fixed position and the pipette movable along the common axis.

8. A method according to claim 2 in which the capillary tube and pipette are concentrically mounted with the pipette in a fixed position and the capillary tube
25 movable along the common axis.

- 40 -

9. A method according to claim 2 wherein gentle suction is applied to the pipette during contact with the interface and during the step of contacting the tip with a cell.

5 10. A method according to any of claims 2 to 9, in which contact between the pipette tip and the air/liquid interface and/or subsequent movement of the pipette tip into the liquid is detected by monitoring pipette capacitance.

10 11. A method according to any of claims 2 to 10, in which if no cell is contacted at or near the interface at or within a predetermined time after contact between the pipette and the interface, the pipette is withdrawn from the interface and then moved back to the interface to
15 repeat the attempt to contact a cell.

12. An apparatus for carrying out the method of any preceding claim which is a computer controlled apparatus including the following elements:

- i) a patch clamp amplifier;
- 20 ii) a source of variable suction for a patch clamp pipette under the control of the patch clamp amplifier;
- iii) a holder for a capillary tube to be mounted vertically;
- iv) a holder for a patch clamp pipette to be mounted
25 vertically in the same axis as the capillary tube in an inverted orientation with the tip pointing upwardly;
- v) a manipulator for controlling relative movement of a capillary tube and a pipette when each is mounted

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respectively in its holder, the relative movement being
along a common axis of movement under feedback control
from the patch clamp amplifier and allowing for the tip of
the pipette to enter a downwardly facing end of the
5 capillary tube.

13. An apparatus according to claim 12 which includes an
array of a multiplicity of capillary tubes and an array of
a multiplicity of pipettes.

14. An apparatus according to claim 12 or 13, comprising
10 a pipette capacitance sensor for sensing pipette
capacitance as the pipette tip contacts an air/liquid
interface at the end of the capillary tube and enters the
liquid in the capillary tube during operation of the
apparatus.

15. A computer-program-controlled patch clamping process
for carrying out the method of any of claims 1 to 11.

16. A computer-program-controlled patch clamping process
for controlling the apparatus of claim 12, 13 or 14.

17. A computer-readable medium carrying a computer
20 program for controlling a computer to implement the method
any of claims 1 to 11 or to control the apparatus of claim
12, 13 or 14.

18. A method for controlling a computer by means of a
computer program for implementing the method of any of
25 claims 1 to 11.

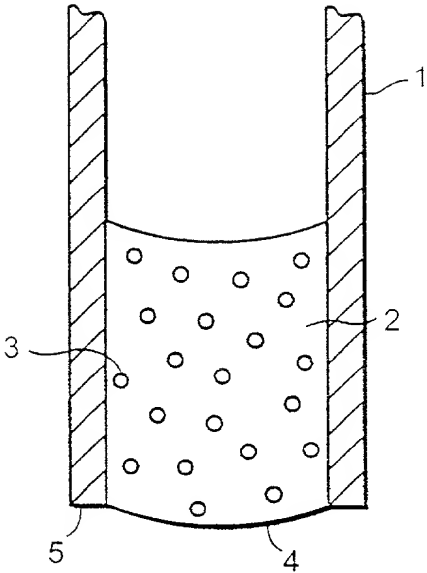


FIG. 1a

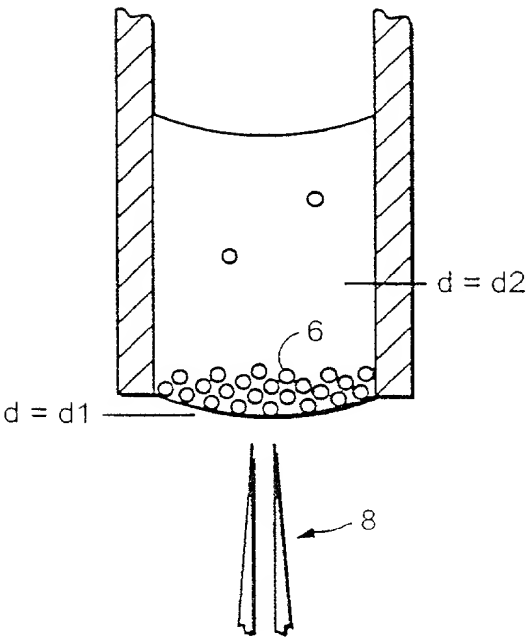


FIG. 1b

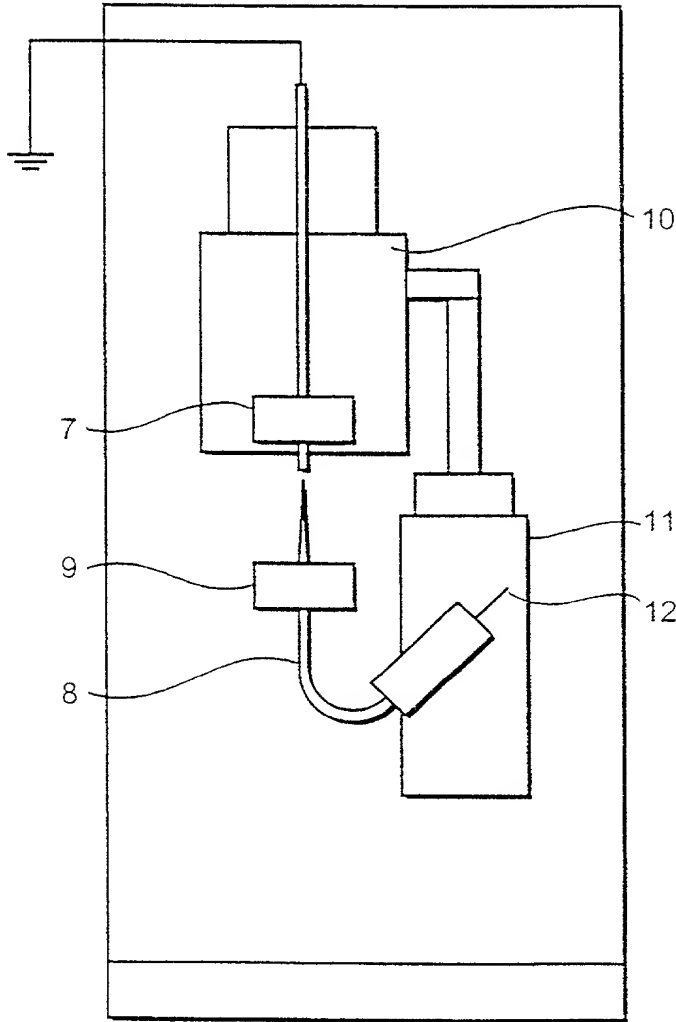


FIG. 2

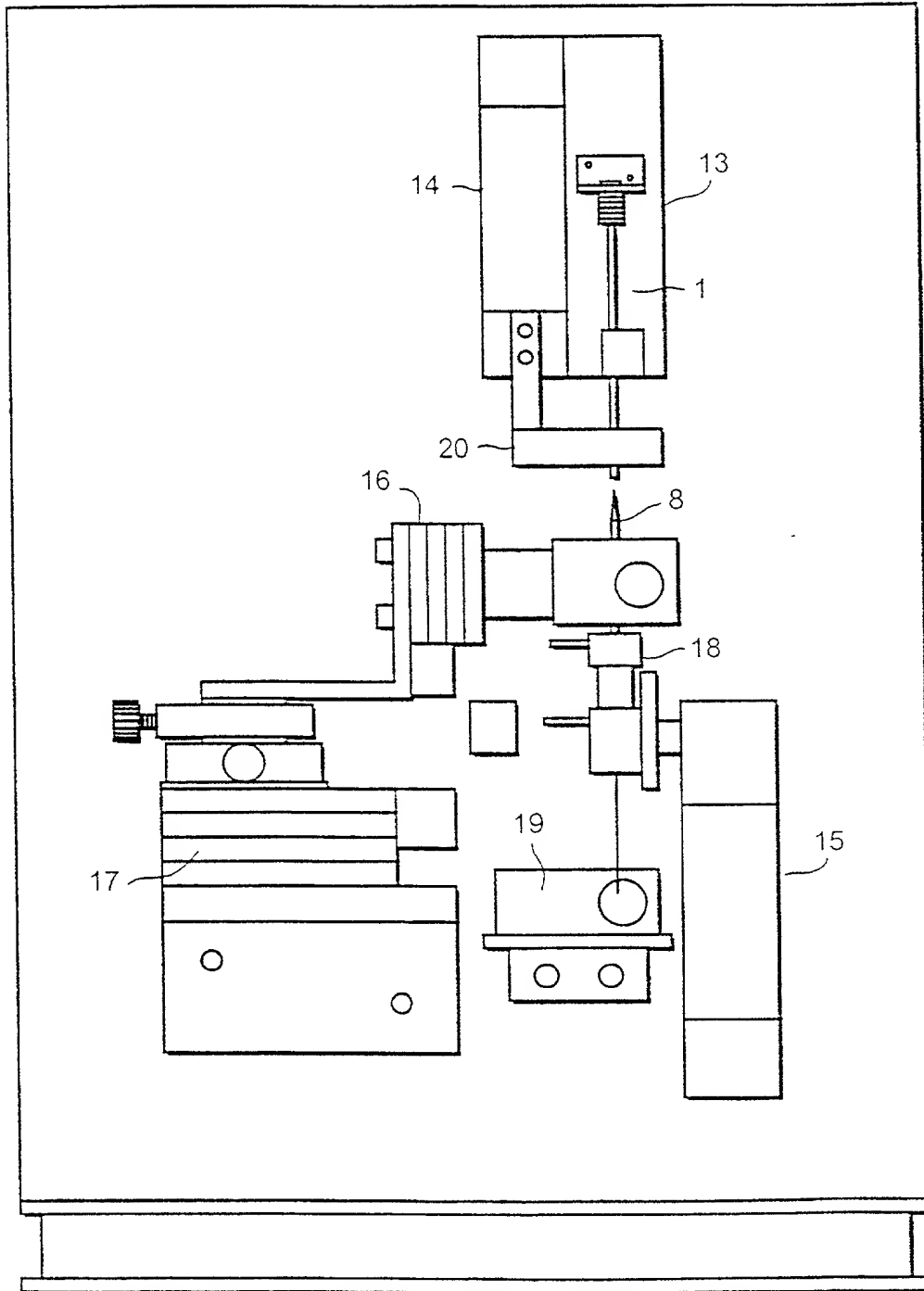


FIG. 2a

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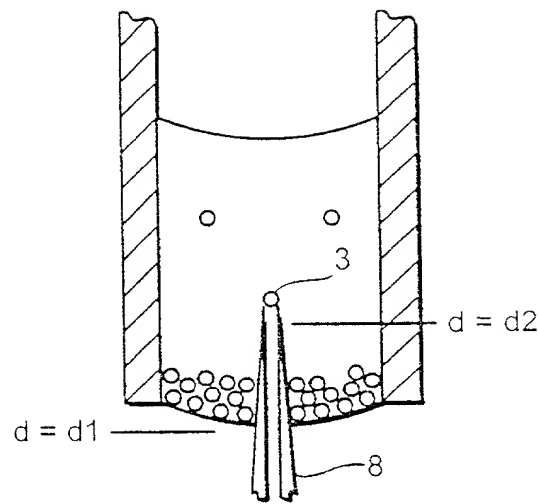


FIG. 3

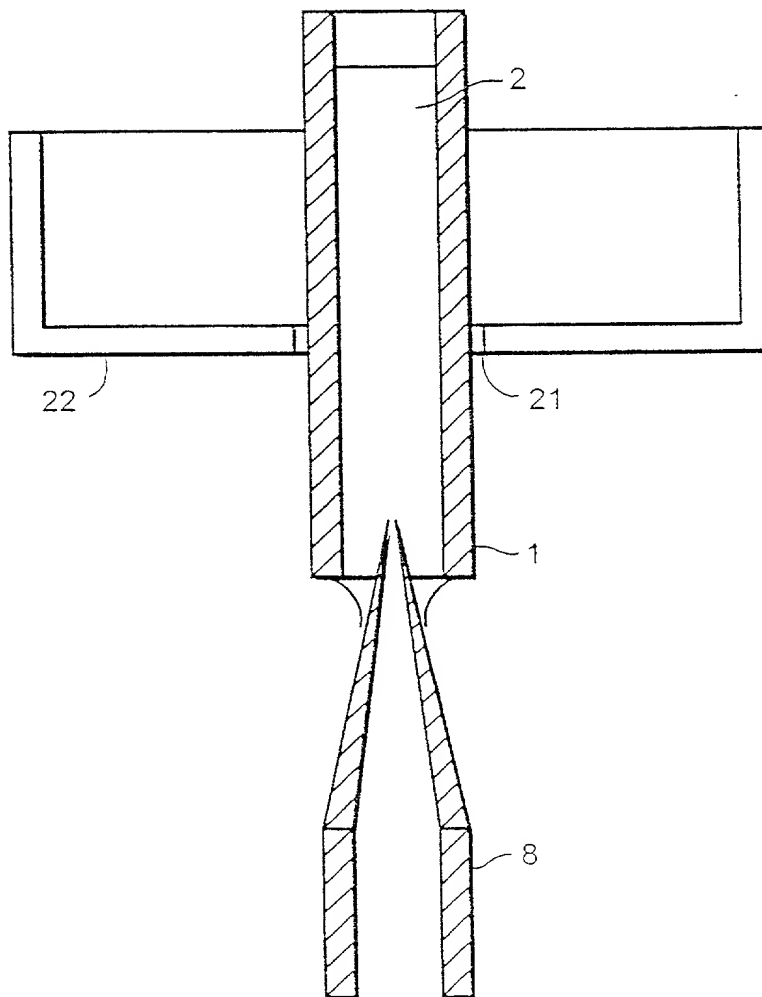


FIG. 4

5 / 33

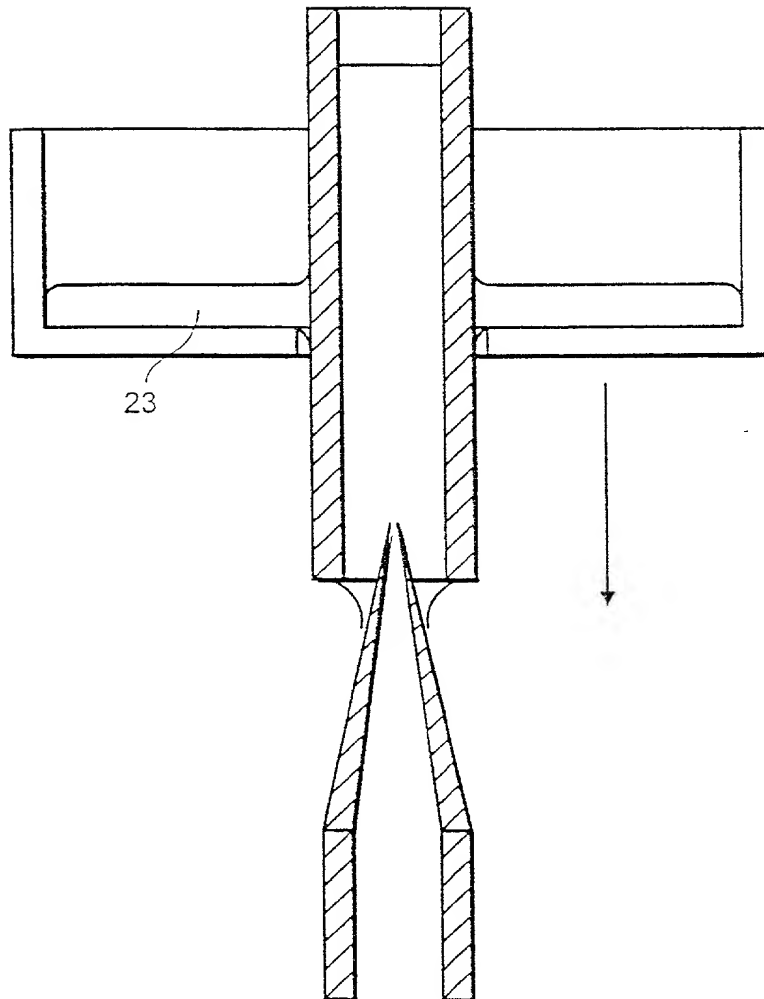


FIG. 5

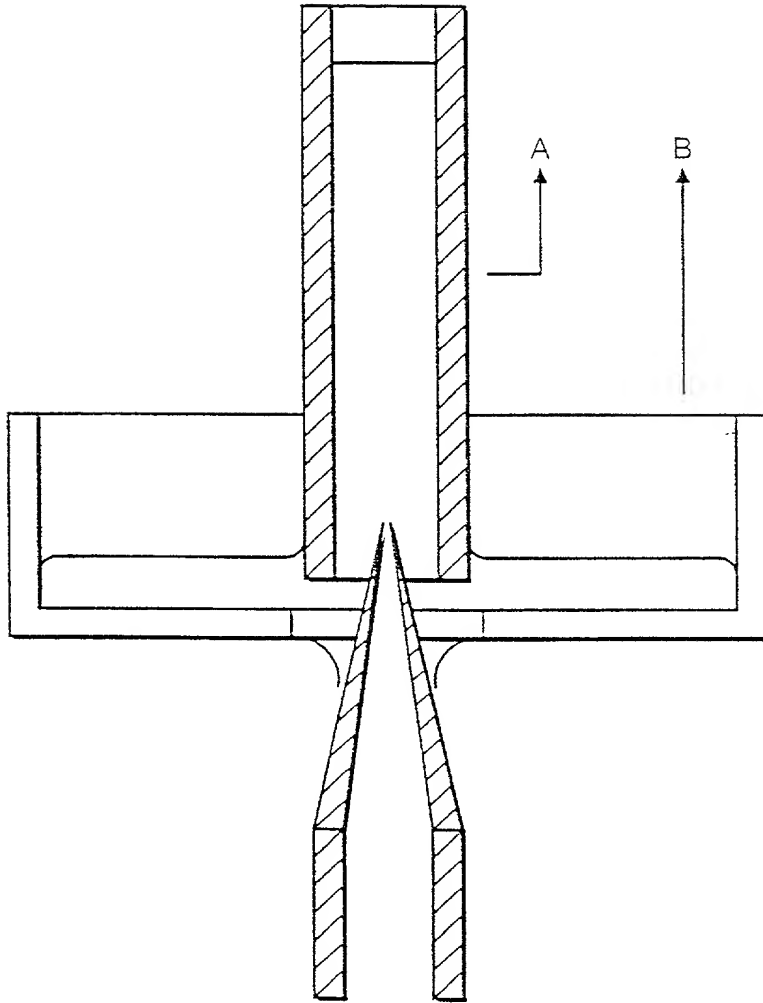


FIG. 6

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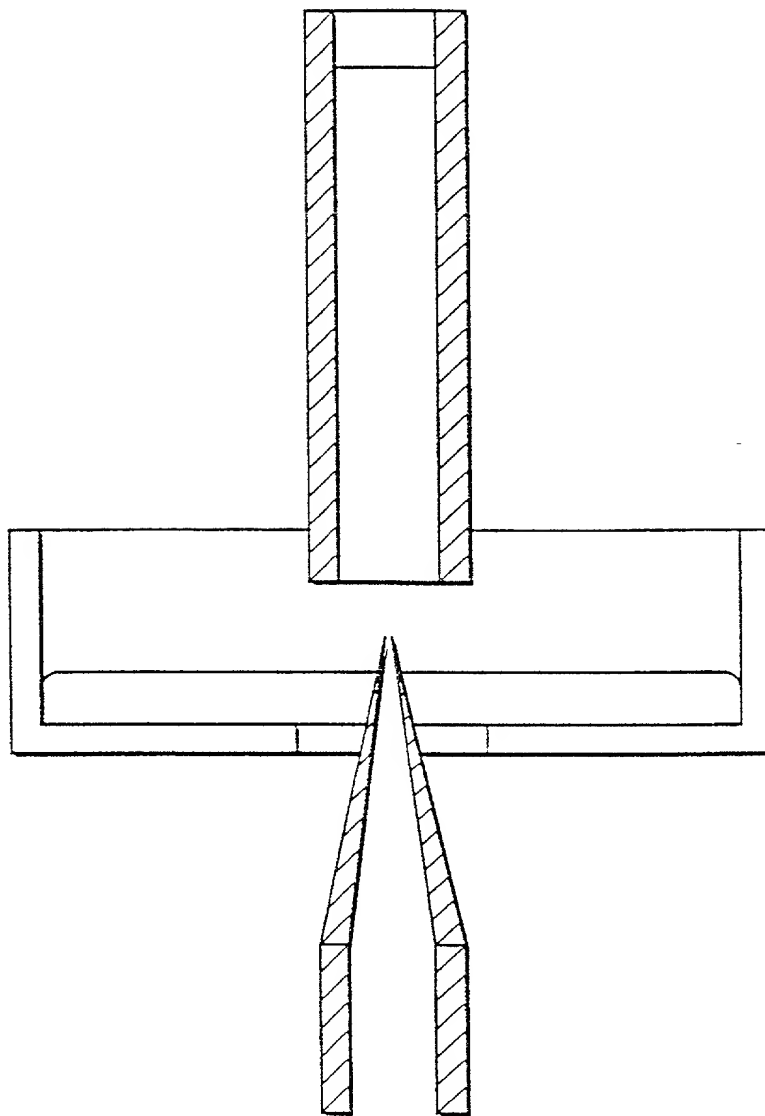


FIG. 7

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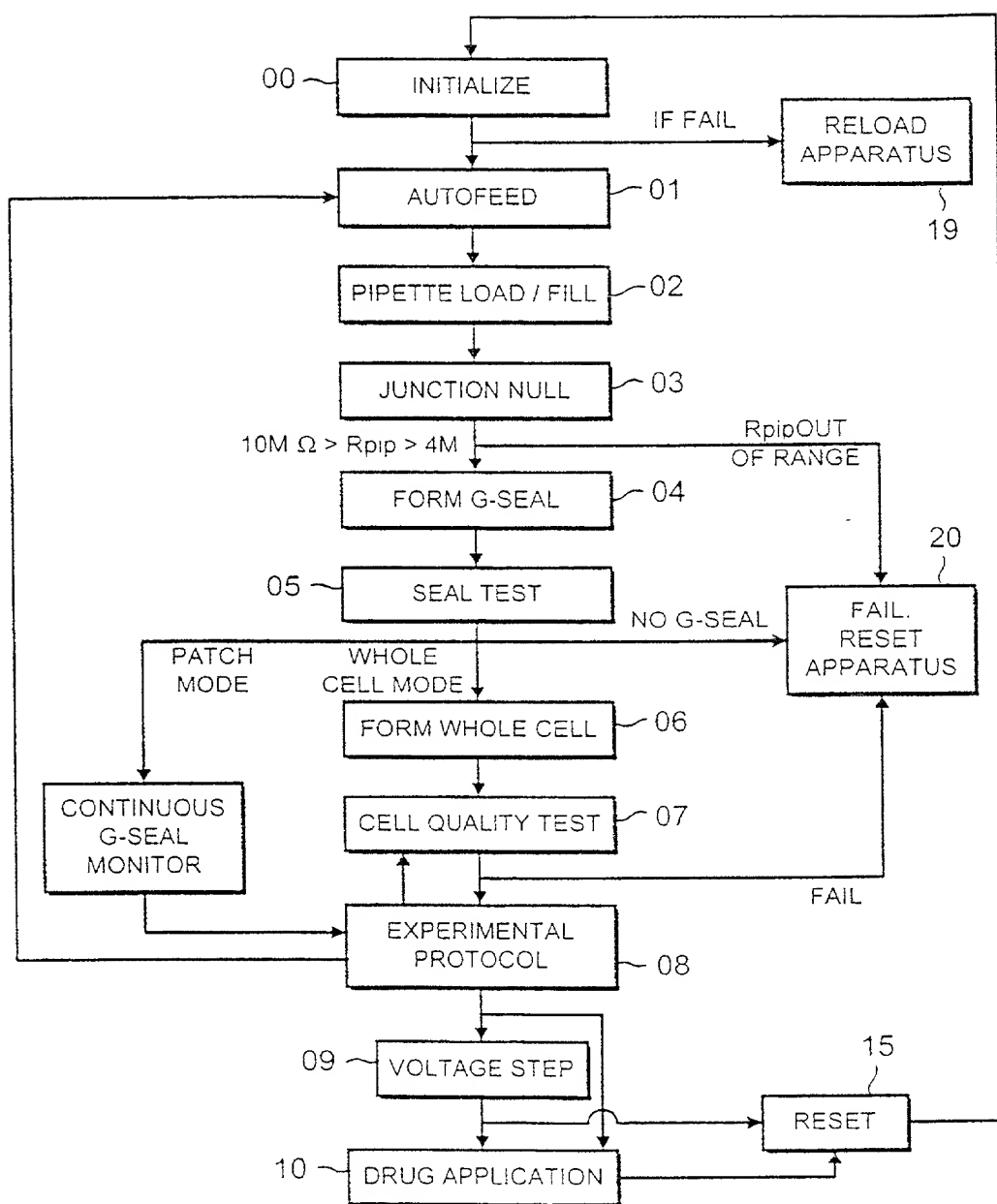


FIG. 8

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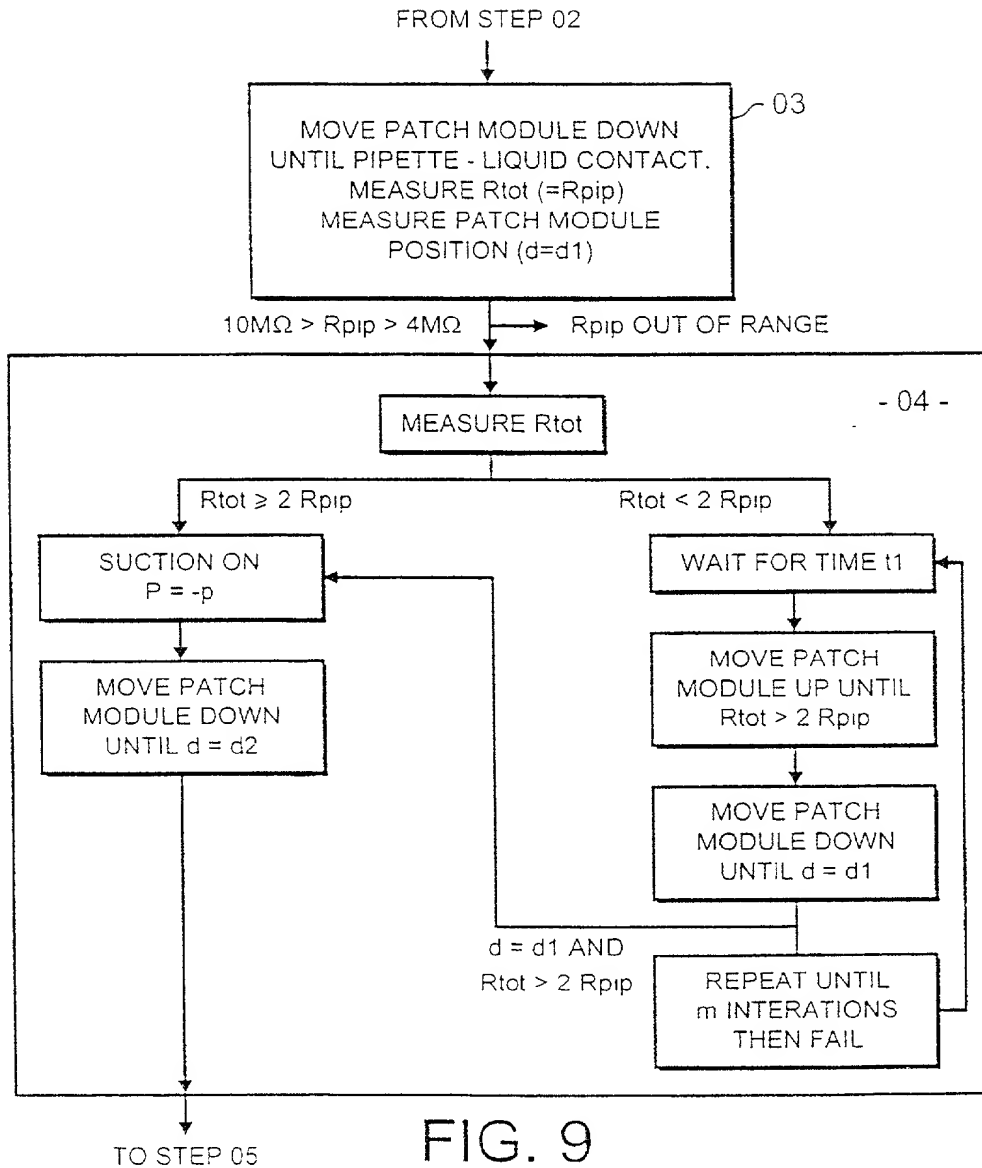


FIG. 9

FIG. 10

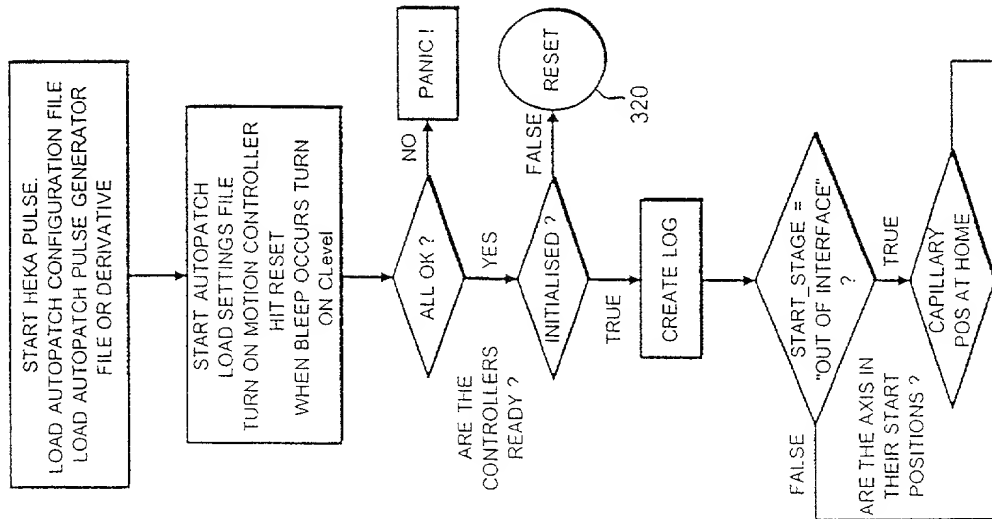


FIG. 10a

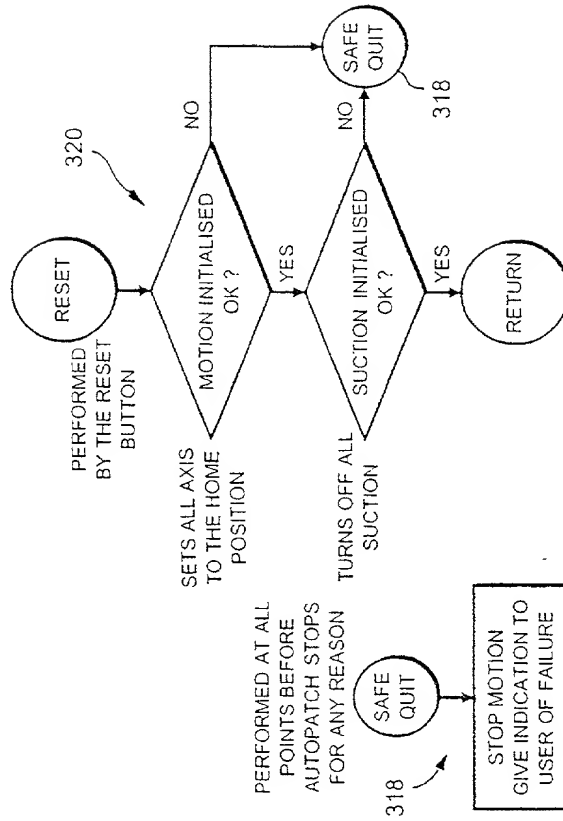
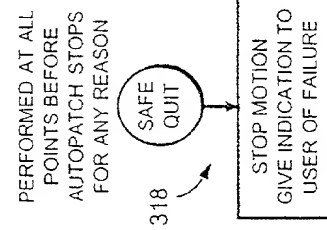


FIG. 10b



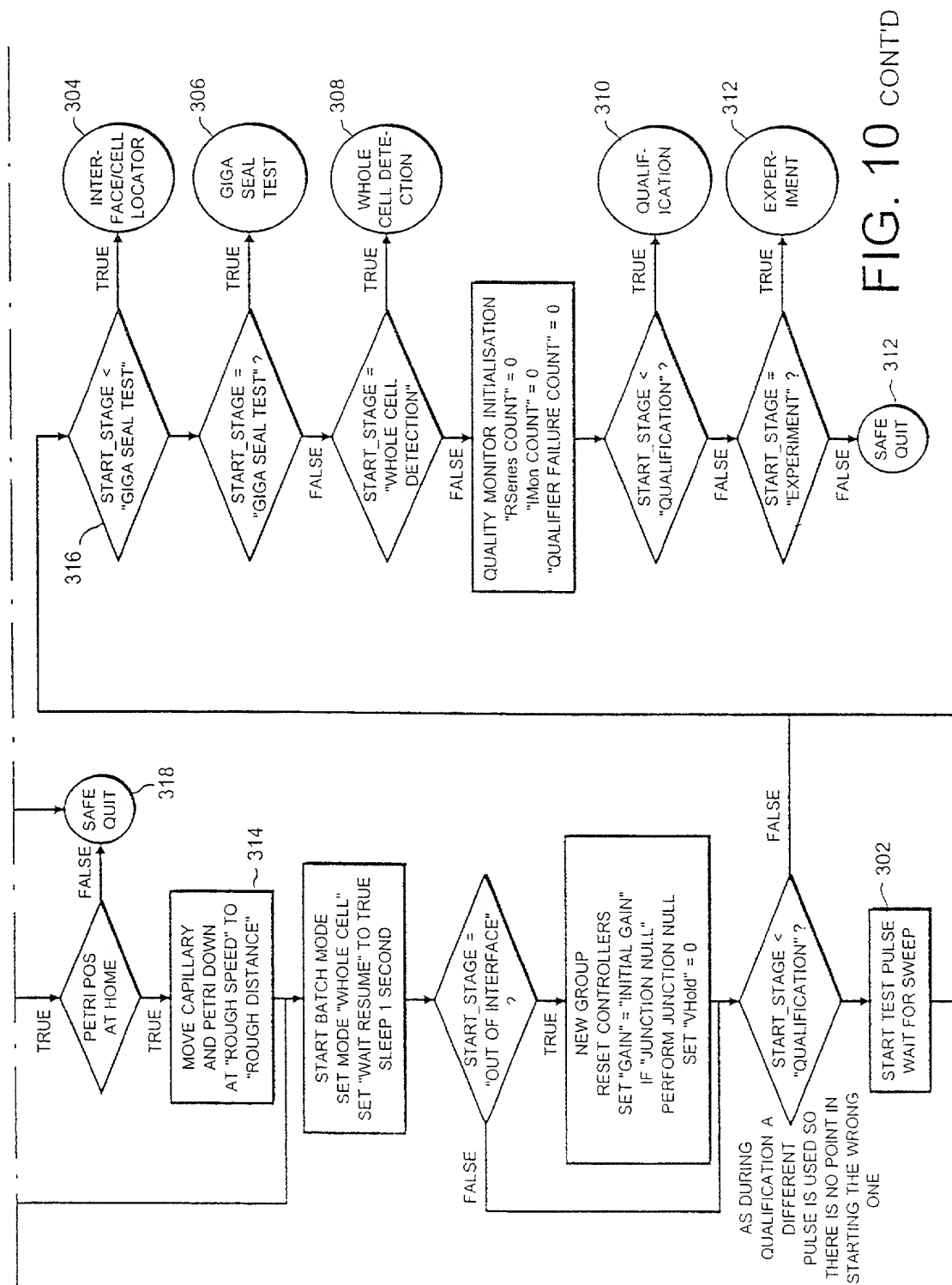
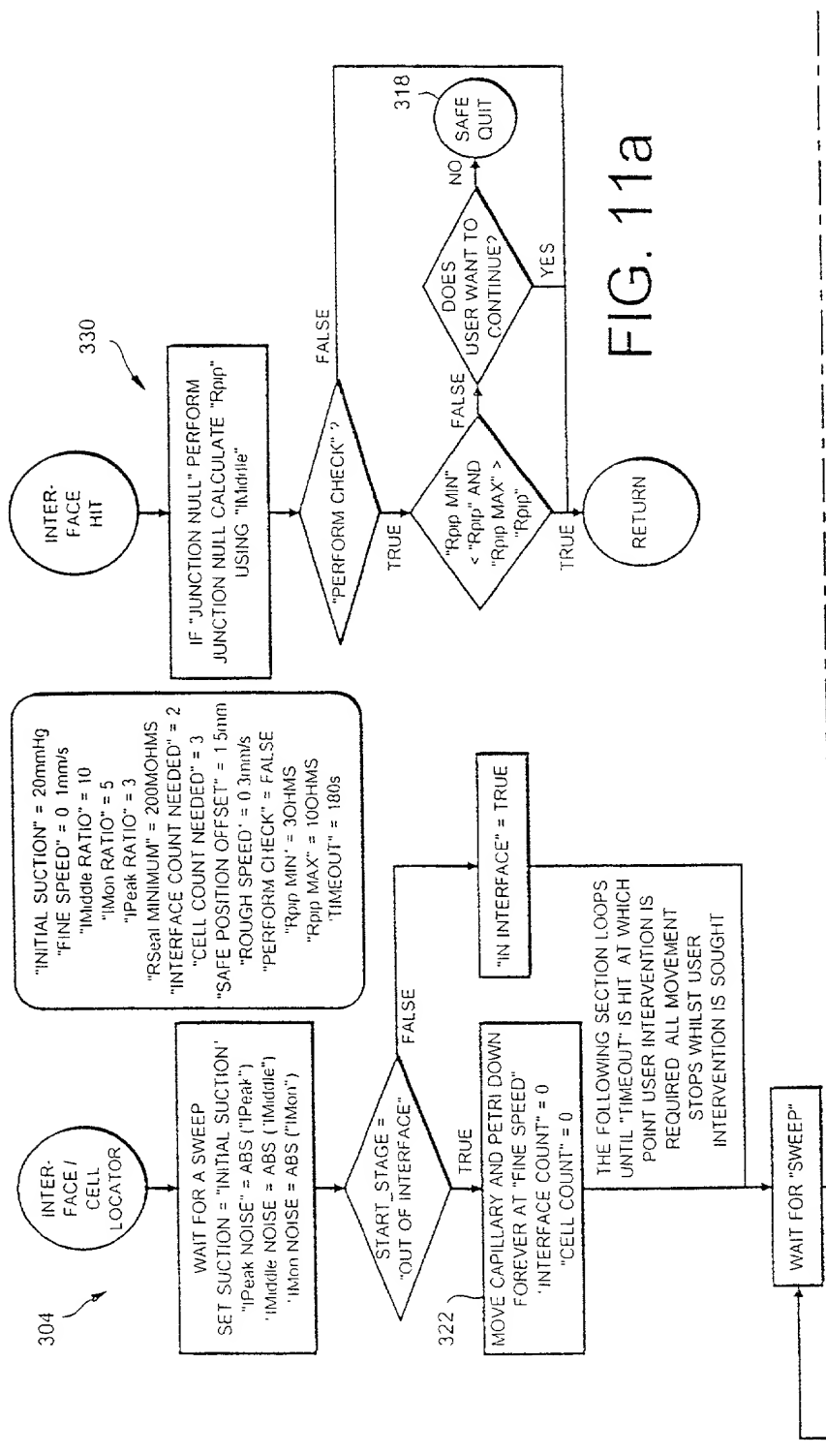
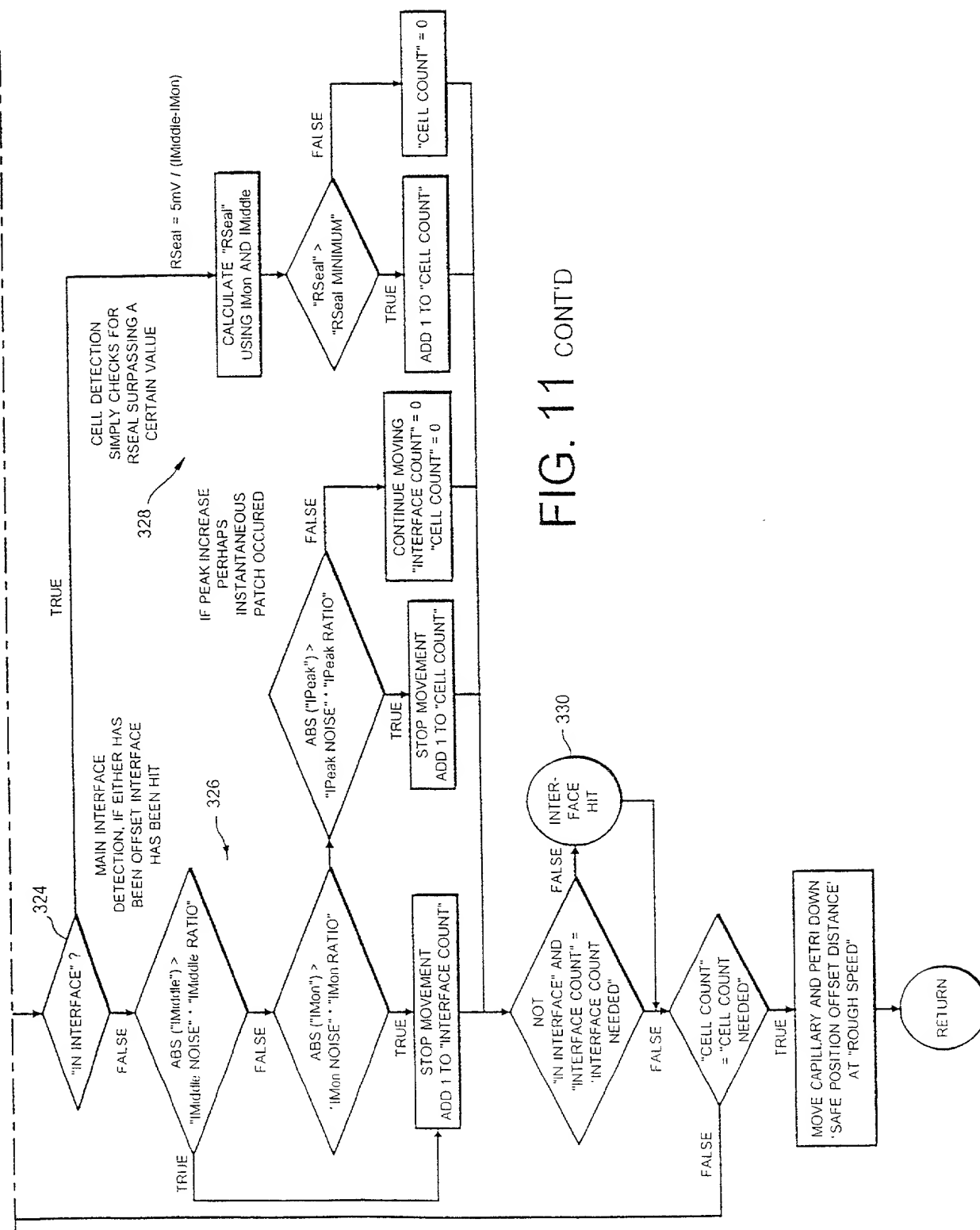
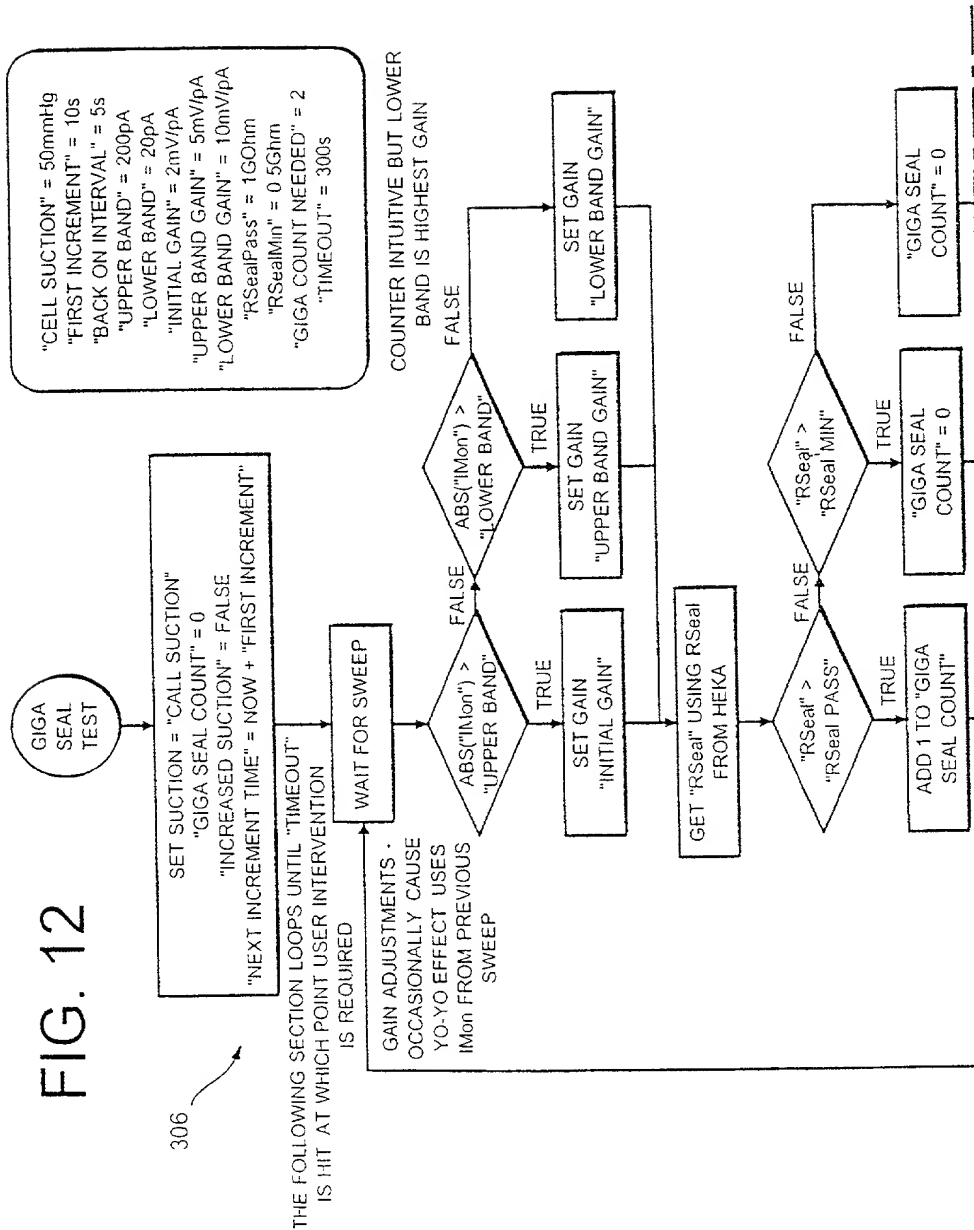


FIG. 11







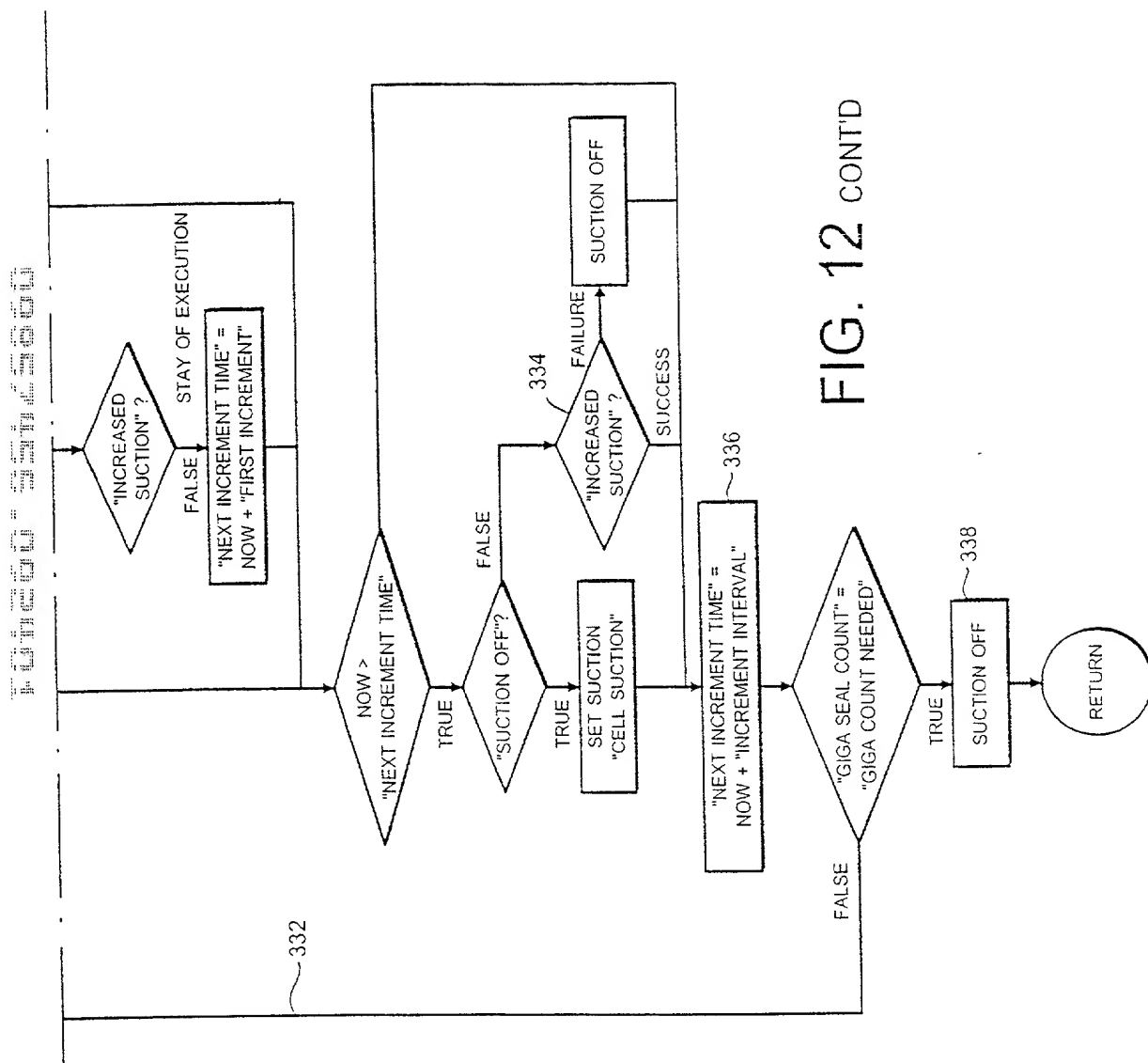
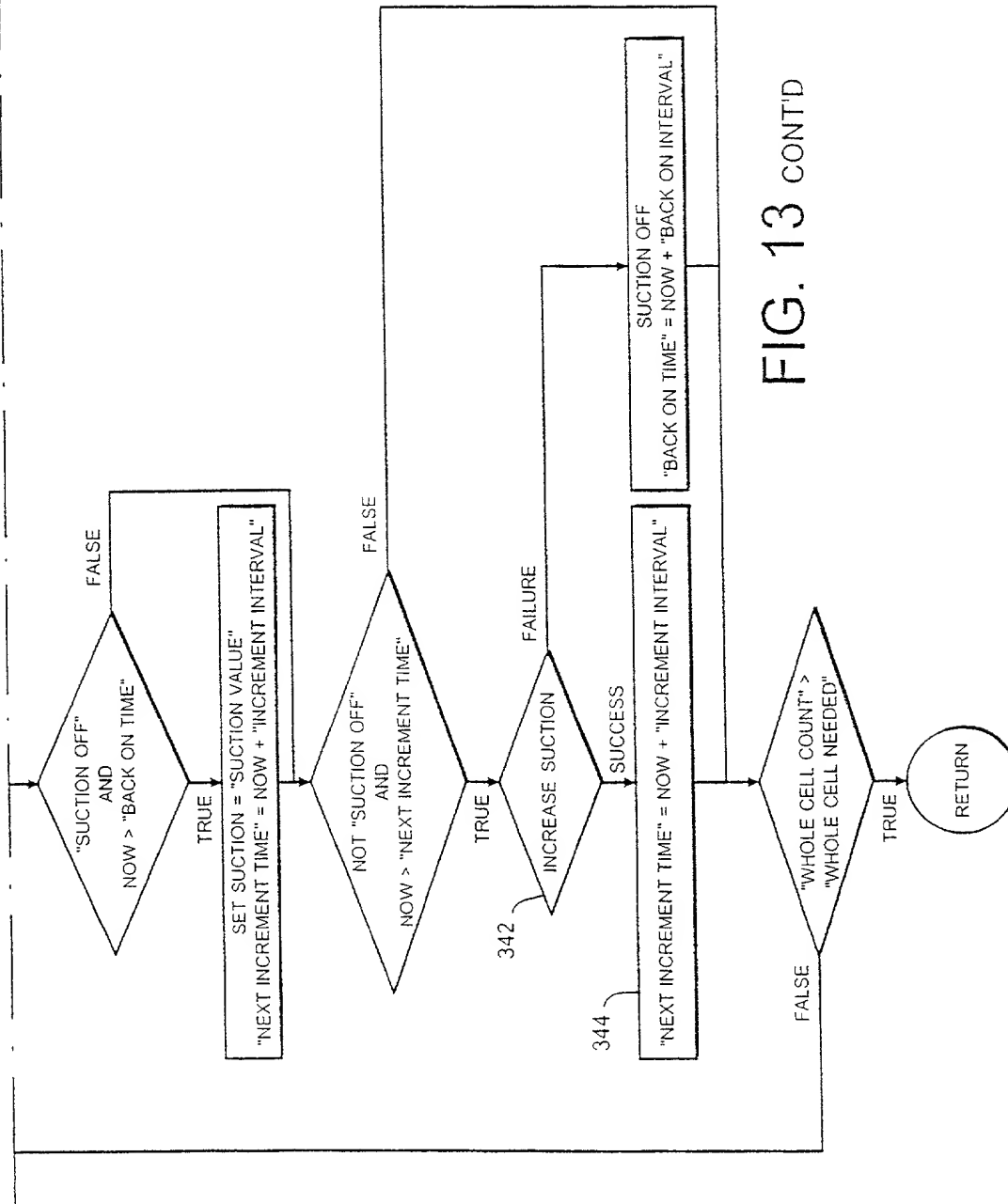
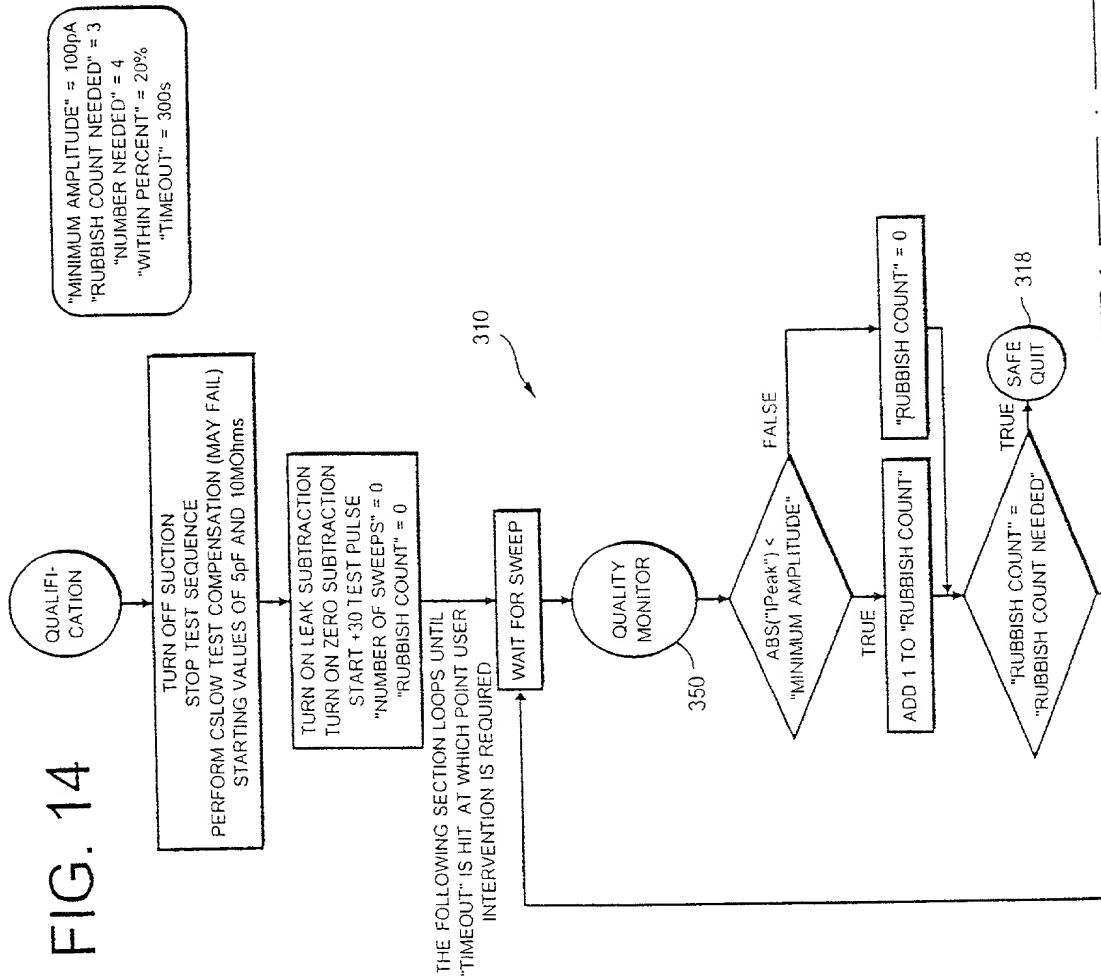
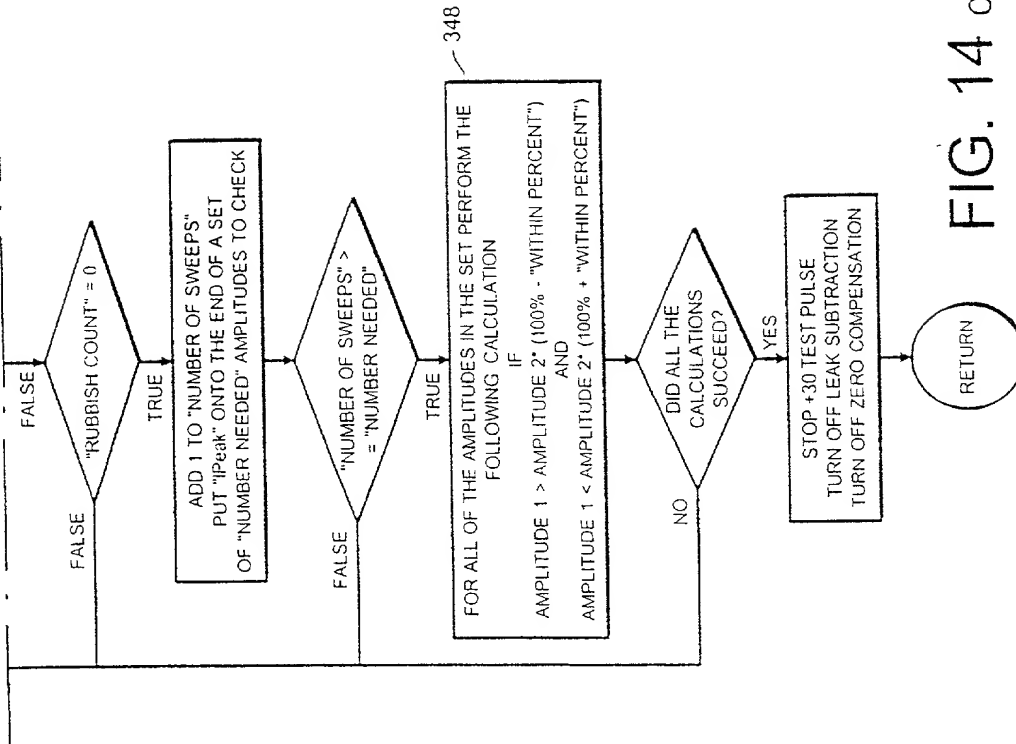


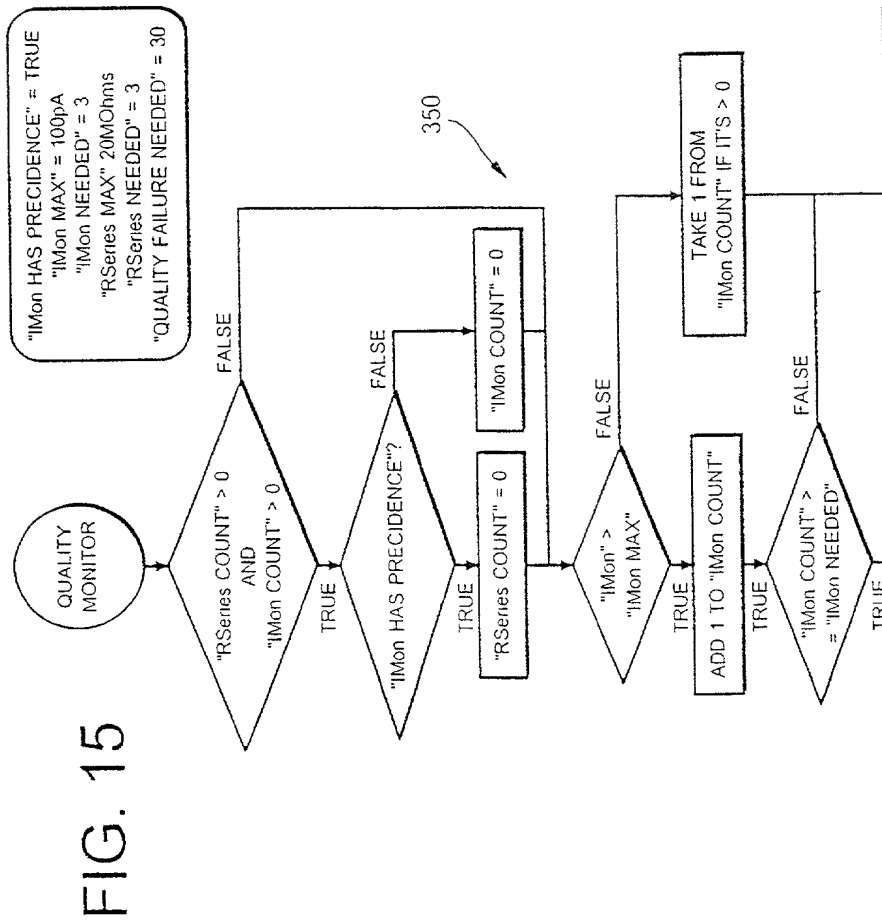
FIG. 12 CONT'D

[illegible]









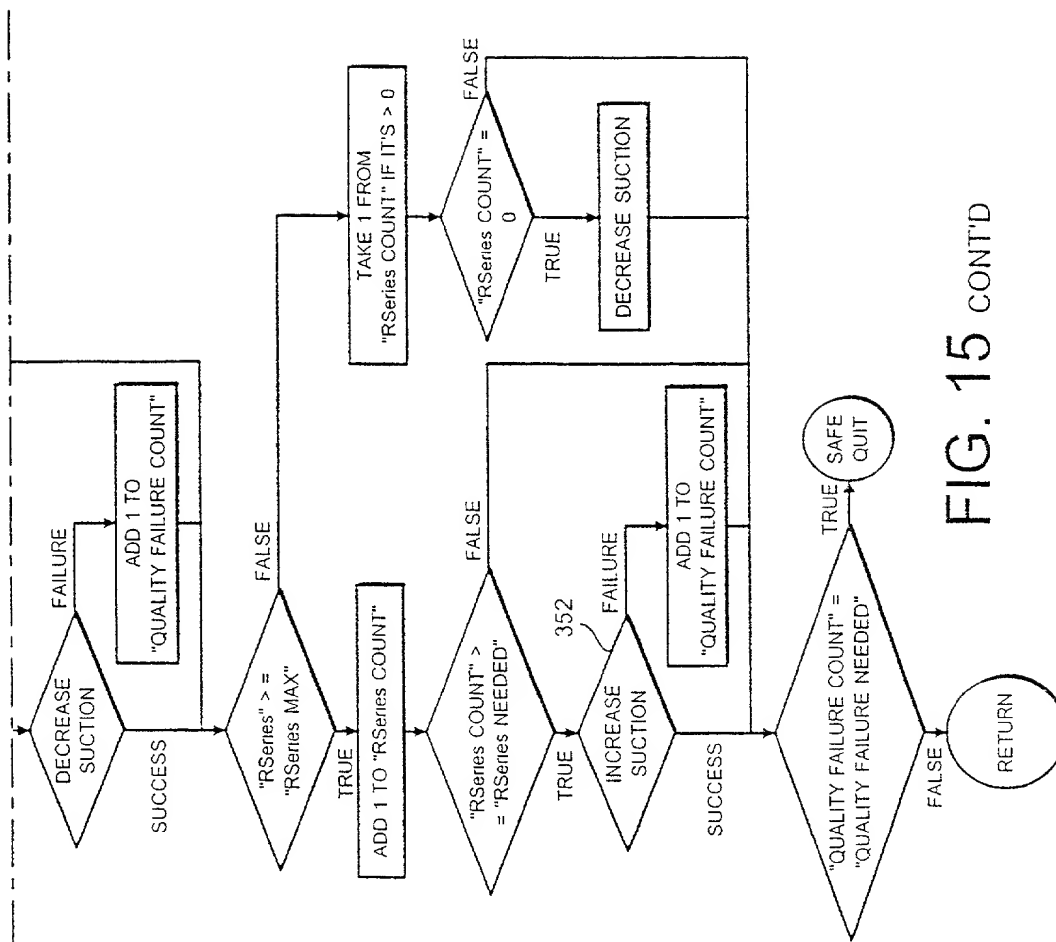


FIG. 15 CONT'D



THE STABILISE SECTION LOOPS
UNTIL "TIMEOUT" IS HIT.
AT WHICH POINT USER
INTERVENTION IS REQUIRED

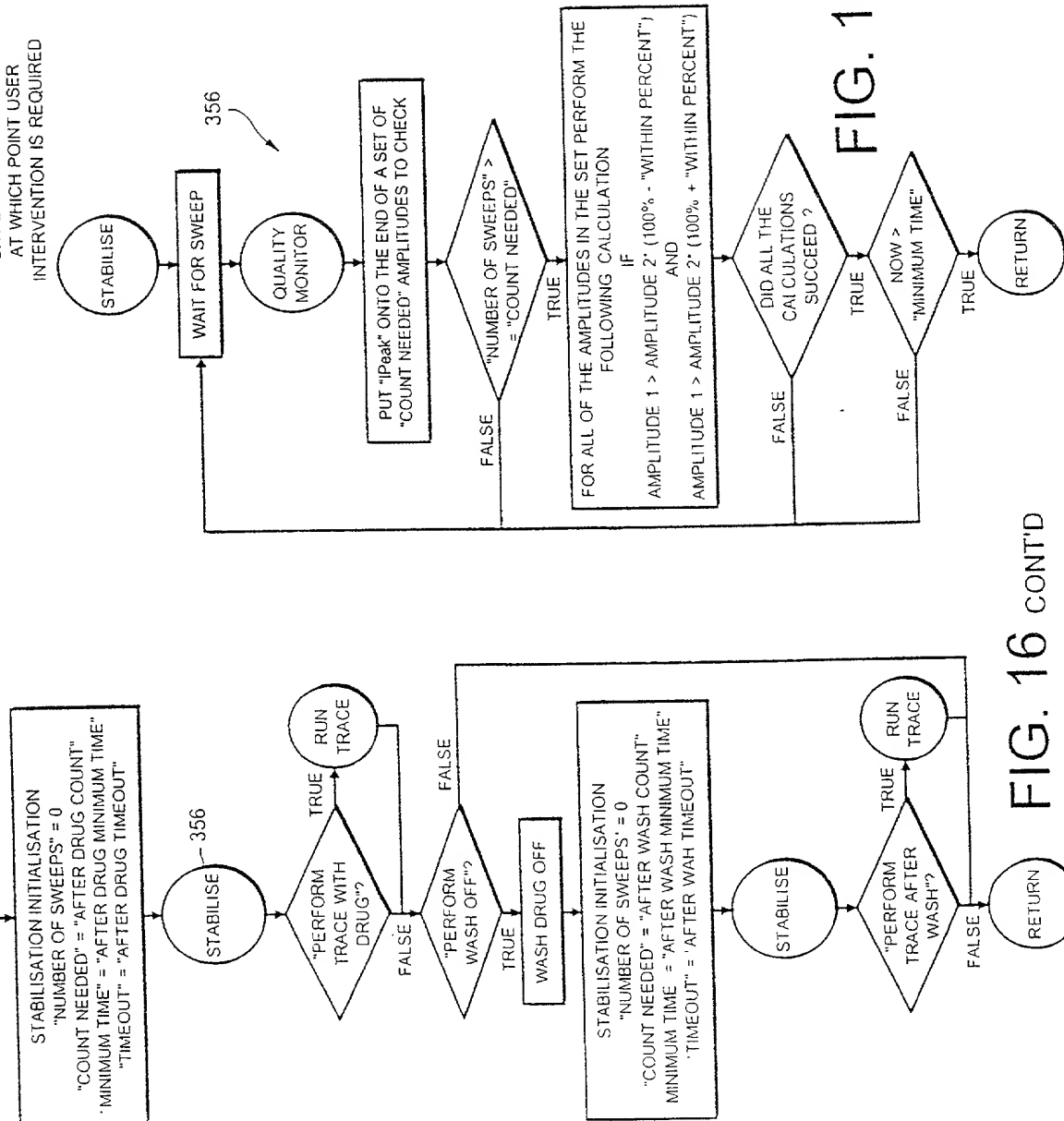


FIG. 16b

FIG. 16 CONT'D

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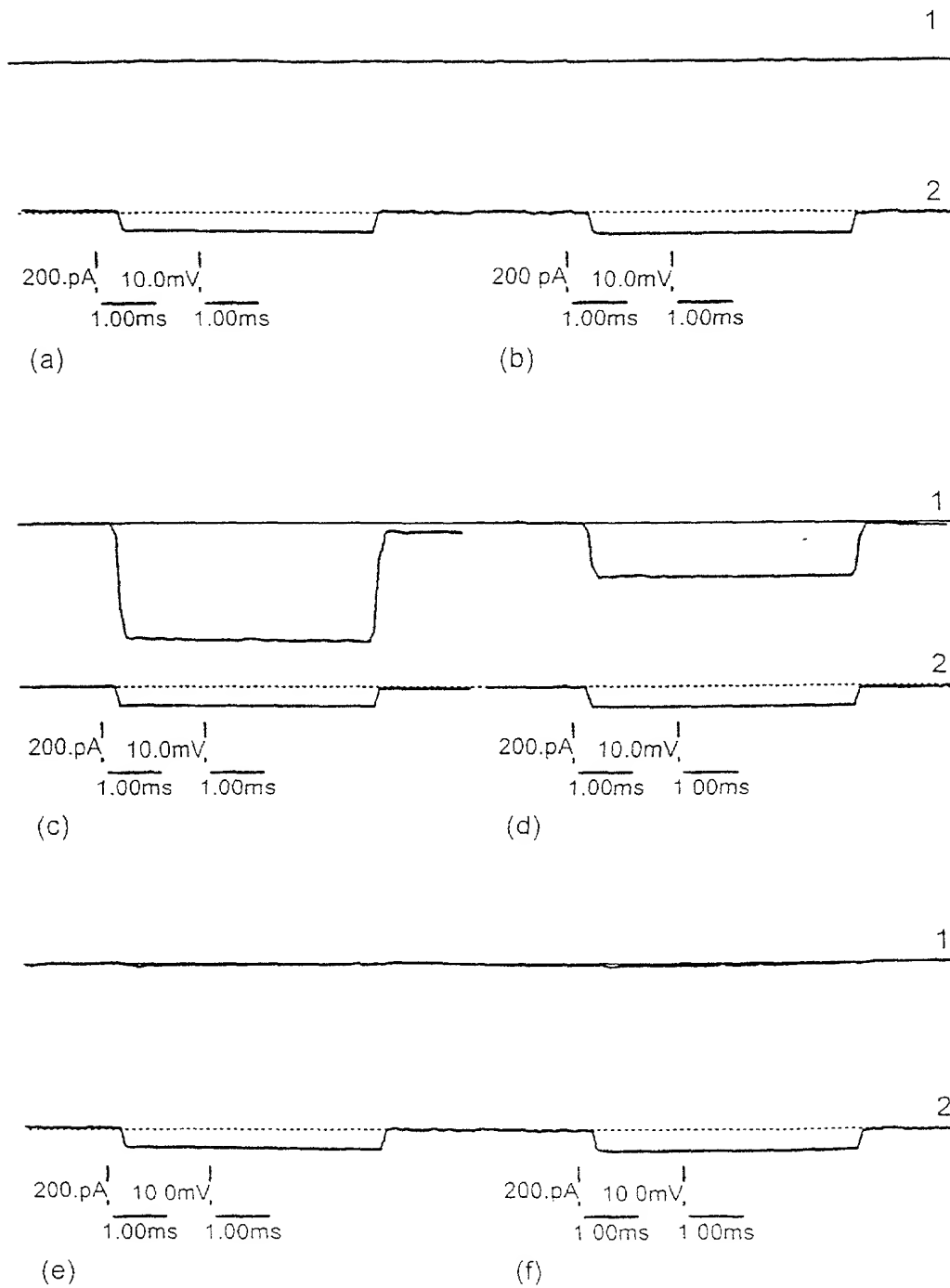


FIG. 17

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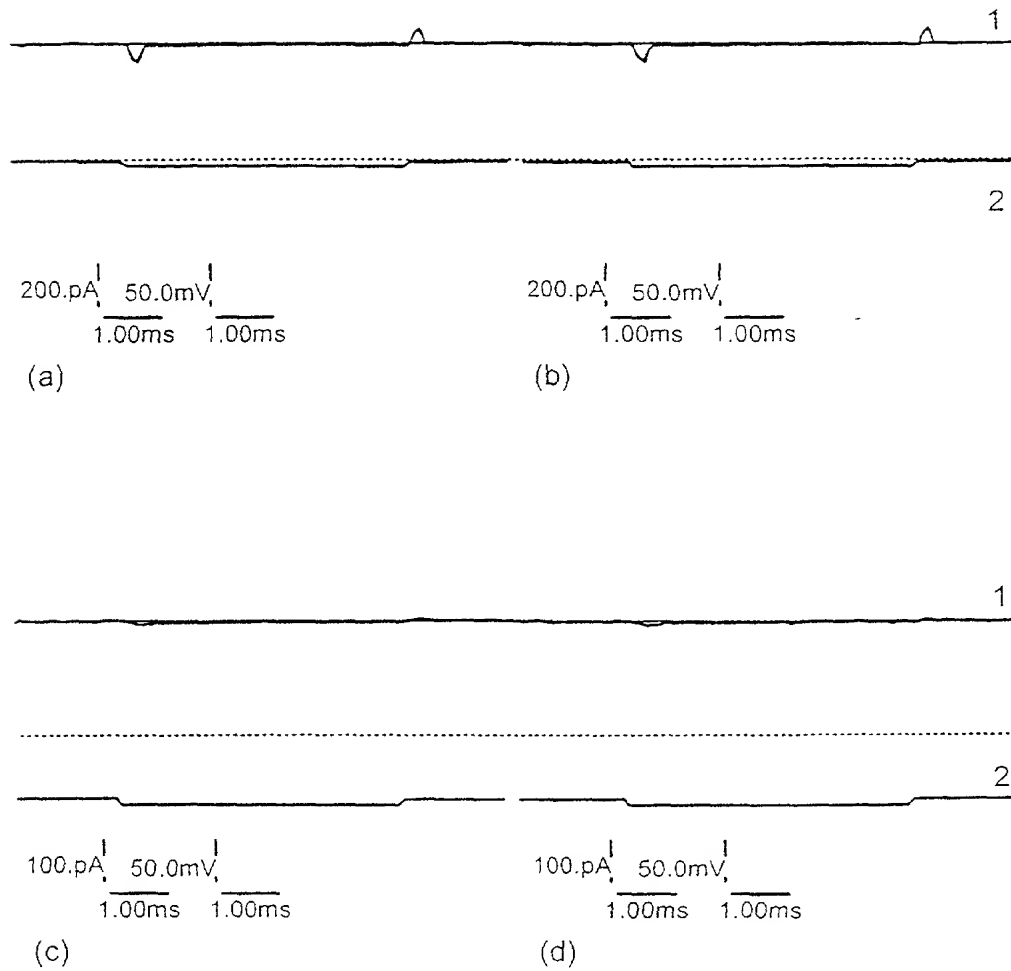


FIG. 18

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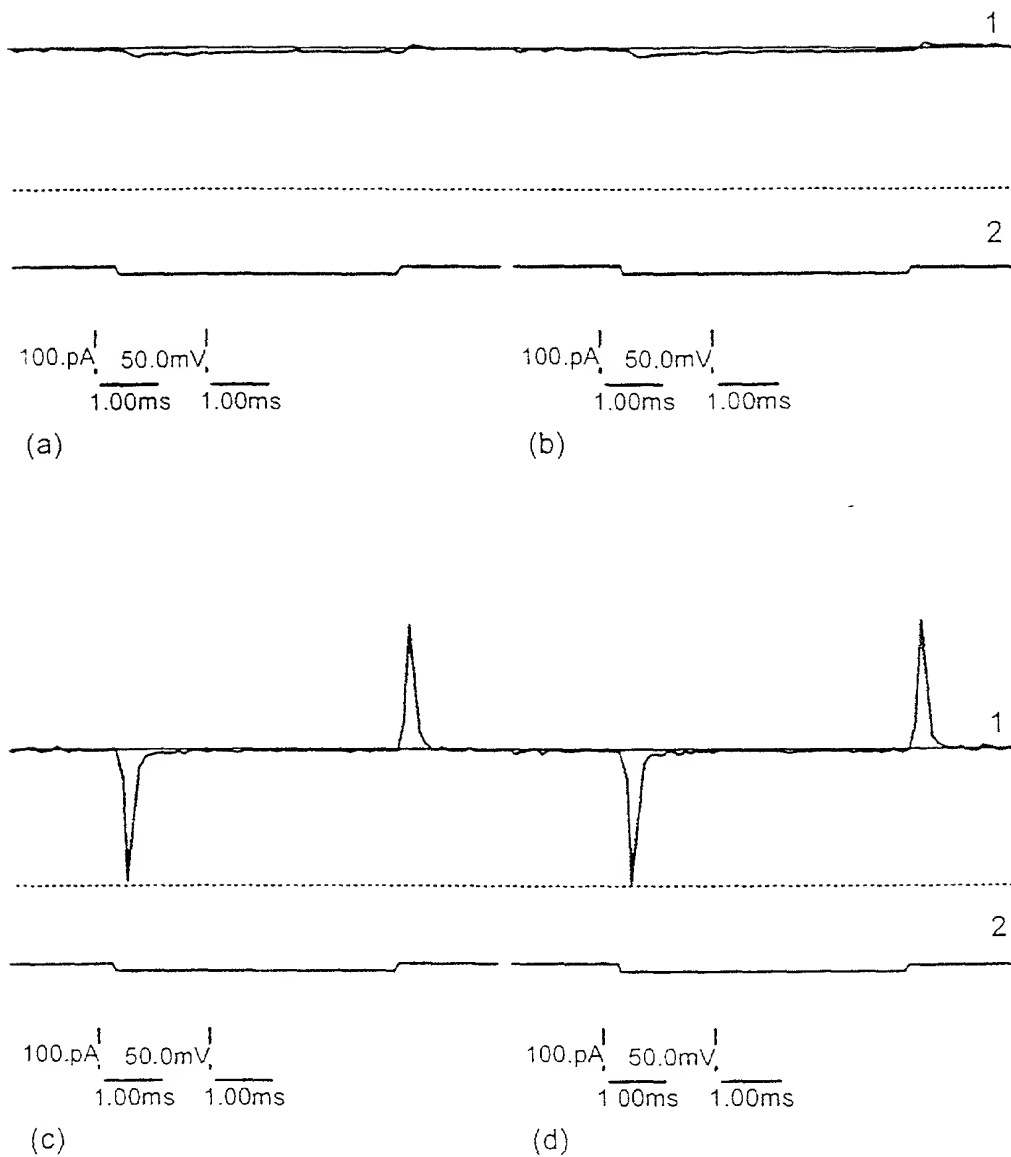


FIG. 19

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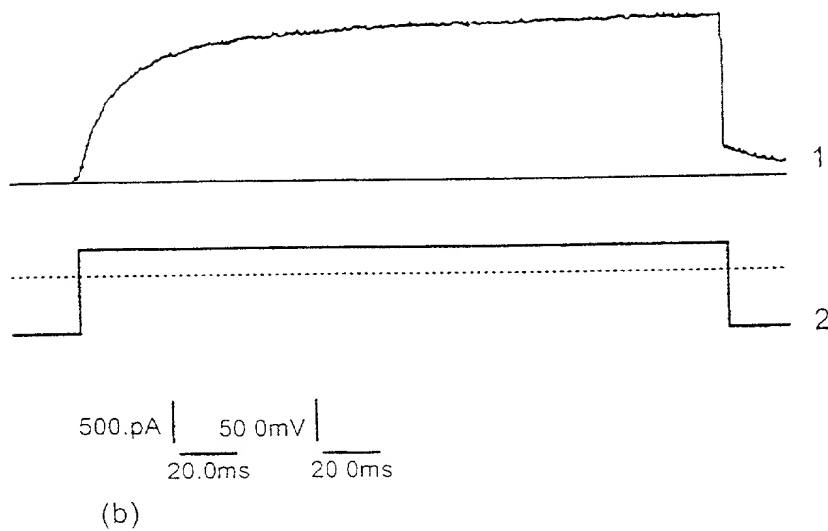
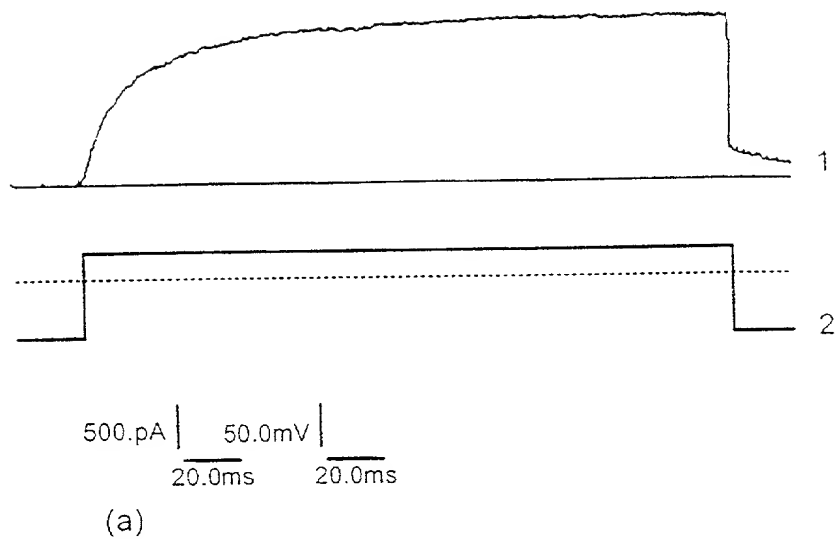


FIG. 20

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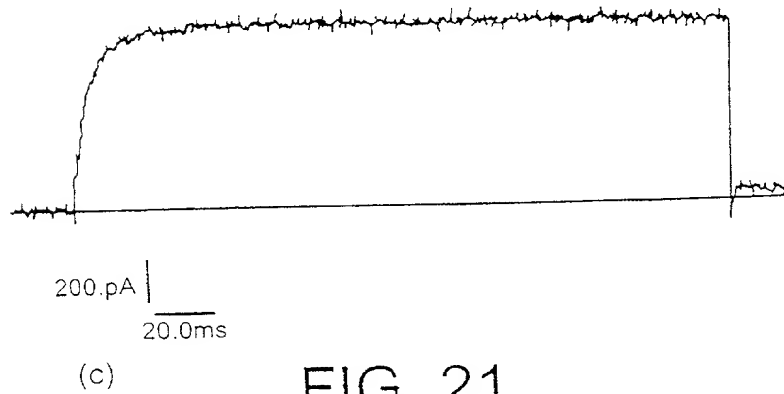
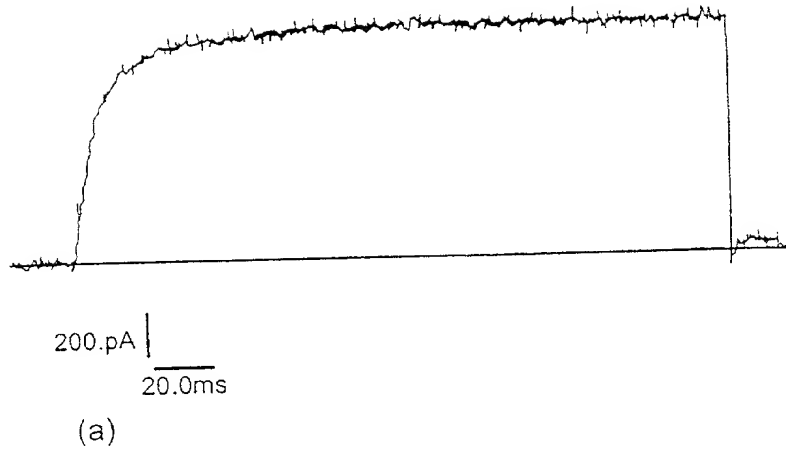


FIG. 21

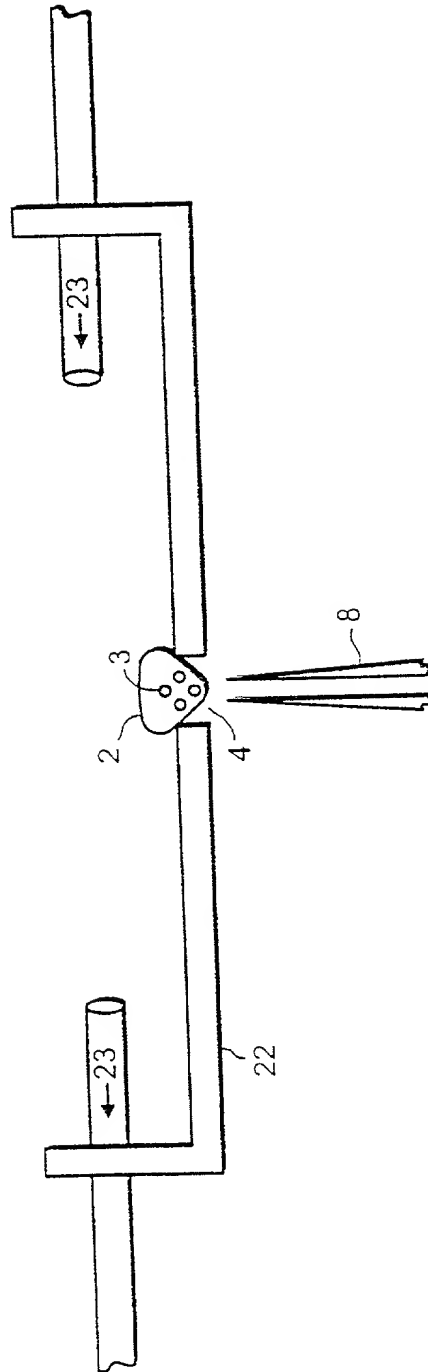


FIG. 22

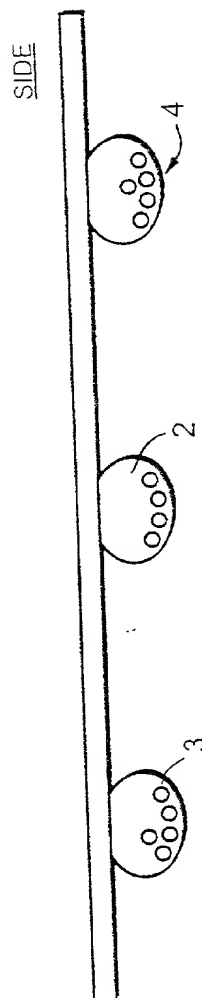
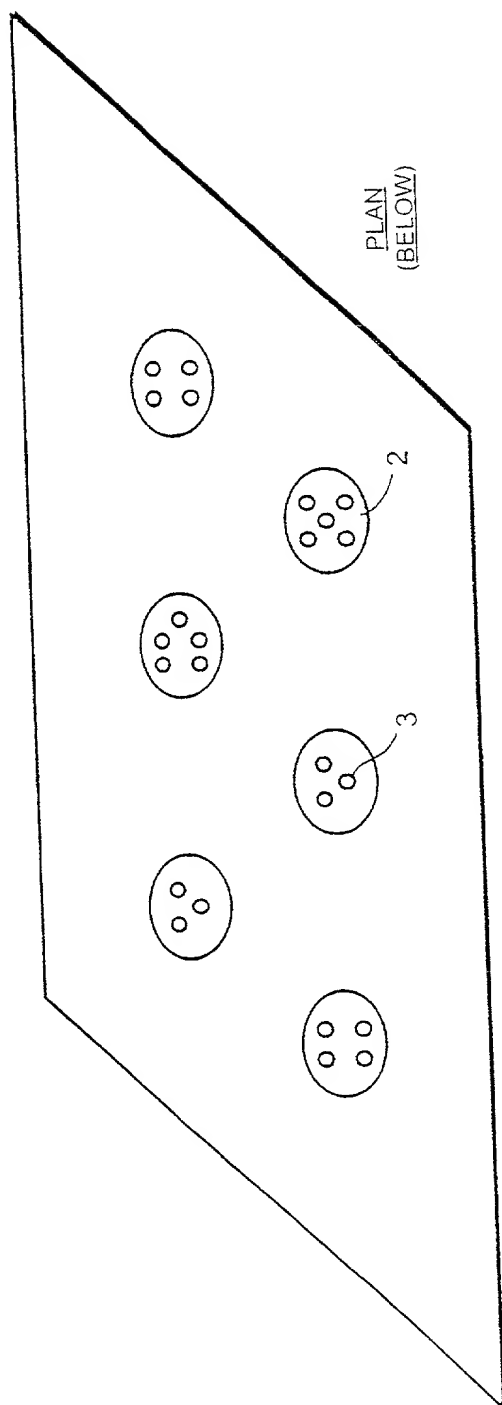


FIG. 23

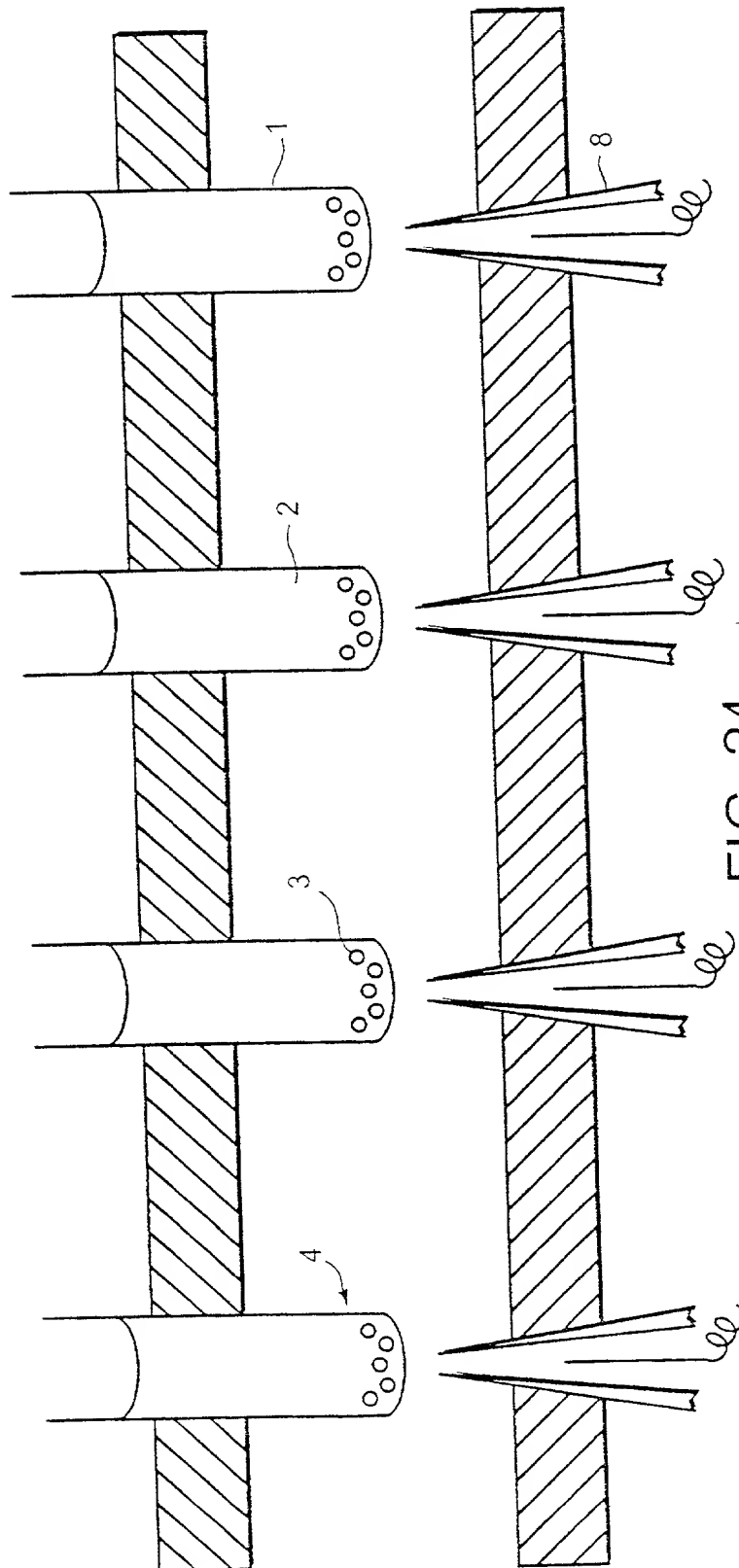


FIG. 24

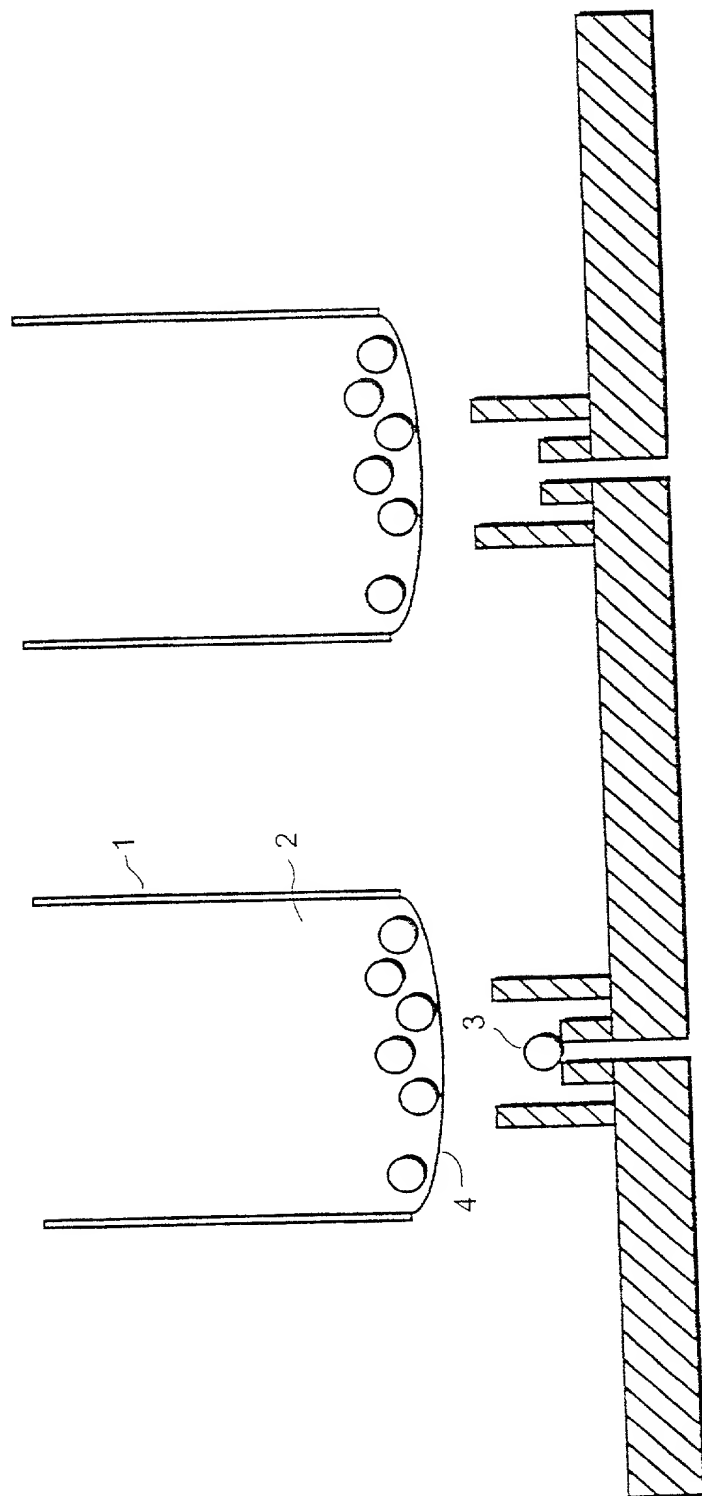
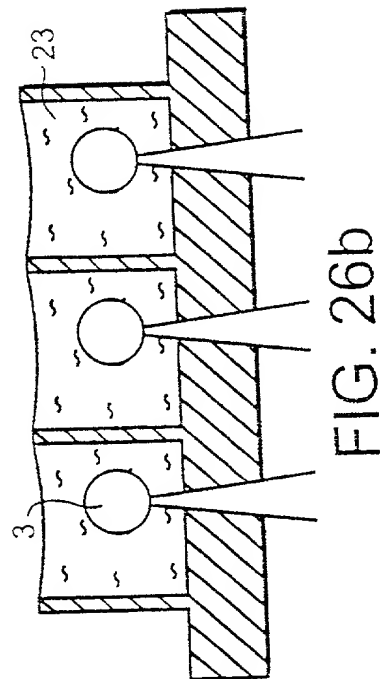
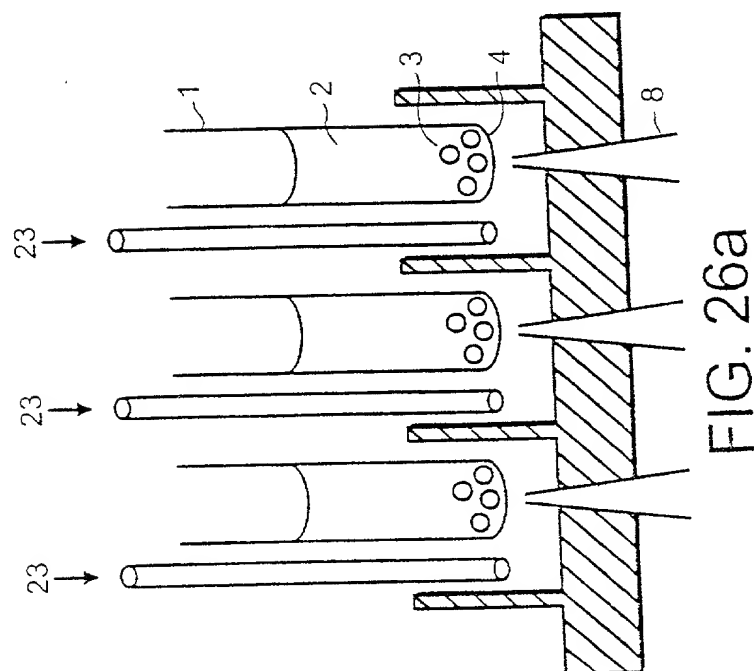


FIG. 25



Application Number	Date of Filing	Status (Patented, Pending, Abandoned)

I hereby appoint the following attorney and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P., Reg. No. 22,540**, Douglas B. Henderson, Reg. No. 20,291; Ford F. Farabow, Jr., Reg. No. 20,630; Arthur S. Garrett, Reg. No. 20,338; Donald R. Dunner, Reg. No. 19,073; Brian G. Brunsvold, Reg. No. 22,593; Tipton D. Jennings IV, Reg. No. 20,645; Jerry D. Voight, Reg. No. 23,020; Laurence R. Hefter, Reg. No. 20,827; Kenneth E. Payne, Reg. No. 23,098; Herbert H. Mintz, Reg. No. 26,691; C. Larry O'Rourke, Reg. No. 26,014; Albert J. Santorelli, Reg. No. 22,610; Michael C. Elmer, Reg. No. 25,857; Richard H. Smith, Reg. No. 20,609; Stephen L. Peterson, Reg. No. 26,325; John M. Romary, Reg. No. 26,331; Bruce C. Zotter, Reg. No. 27,680; Dennis P. O'Reilly, Reg. No. 27,932; Allen M. Sokal, Reg. No. 26,695; Robert D. Bajefsky, Reg. No. 25,387; Richard L. Stroup, Reg. No. 28,478; David W. Hill, Reg. No. 28,220; Thomas L. Irving, Reg. No. 28,619; Charles E. Lipsey, Reg. No. 28,165; Thomas W. Winland, Reg. No. 27,605; Basil J. Lewris, Reg. No. 28,818; Martin I. Fuchs, Reg. No. 28,508; E. Robert Yoches, Reg. No. 30,120; Barry W. Graham, Reg. No. 29,924; Susan Haberman Griffen, Reg. No. 30,907; Richard B. Racine, Reg. No. 30,415; Thomas H. Jenkins, Reg. No. 30,857; Robert E. Converse, Jr., Reg. No. 27,432; Clair X. Mullen, Jr., Reg. No. 20,348; Christopher P. Foley, Reg. No. 31,354; John C. Paul, Reg. No. 30,413; David M. Kelly, Reg. No. 30,953; Kenneth J. Meyers, Reg. No. 25,146; Carol P. Einaudi, Reg. No. 32,220; Walter Y. Boyd, Jr., Reg. No. 31,738; Steven M. Anzalone, Reg. No. 32,095; Jean B. Fordis, Reg. No. 32,984; Barbara C. McCurdy, Reg. No. 32,120; James K. Hammond, Reg. No. 31,964; Richard V. Burgujian, Reg. No. 31,744; J. Michael Jakes, Reg. No. 32,824; Dirk D. Thomas, Reg. No. 32,600; Thomas W. Banks, Reg. No. 32,719; Christopher P. Isaac, Reg. No. 32,616; Bryan C. Diner, Reg. No. 32,409; M. Paul Barker, Reg. No. 32,013; Andrew Chanho Sonu, Reg. No. 33,457; David S. Forman, Reg. No. 33,694; Vincent P. Kovalick, Reg. No. 32,867; James W. Edmondson, Reg. No. 33,871; Michael R. McGurk, Reg. No. 32,045; Joann M. Neth, Reg. No. 36,363; Gerson S. Panitch, Reg. No. 33,751; Cheri M. Taylor, Reg. No. 33,216; Charles E. Van Horn, Reg. No. 40,266; and Linda A. Wadler, Reg. No. 33,218; Jeffrey A. Berkowitz, Reg. No. 36,743; Michael R. Kelly, Reg. No. 33,921; James B. Monroe, Reg. No. 33,971; Doris Johnson Hines, Reg. No. 34,629; Allen R. Jensen, Reg. No. 28,224; Lori Ann Johnson, Reg. No. 34,498; and David A. Manspeizer, Reg. No. 37,540 and . Please address all correspondence to **FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.**, 1300 I Street, N.W., Washington, D.C. 20005, Telephone No. (202) 408-4000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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Full Name of Second Inventor	Inventor's Signature	Date
David Geraint OWEN	<i>David Geraint Owen</i>	x 20th July 2001
Residence Kent, United Kingdom	Country of Citizenship United Kingdom	
Post Office Address 2 The Terrace, The Street, Kent DA12 3DF, United Kingdom		

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that: My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INTERFACE PATCH CLAMPING

the specification of which:

is attached hereto; or

was filed as United States Application Serial No. _____
on June 5, 2001, and was amended on _____
(if applicable); or

was filed as PCT International Application Number PCT/GB99/04073
on December 6, 1999 and was amended on December 21, 2000
(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate or § 365(a) of any PCT international application(s), designating at least one country other than the United States, listed below and have also identified below any foreign application(s) for patent or inventor's certificate, or any PCT international application(s) having a filing date before that of the application(s) of which priority is claimed:

Country	Application Number	Date of Filing	Priority Claimed Under 35 U.S.C. 119
Great Britain	9826742.0	December 5, 1998	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Great Britain	9906053.5	March 17, 1999	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Great Britain	9905998.2	March 17, 1999	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Number	Date of Filing

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